

Attachments

Citizen Participation Comments

Attachments

Public Participation Summaries

- A. Synopsis of Stakeholders Meetings
- B. Public Hearing Presentation
- C. Community Needs Survey Results
- D. Proof of Publication

A. SYNOPSIS OF AGENCY/GROUPS MEETINGS

**AGENCY/GROUPS MEETING
FOR 2021-2025 CONSOLIDATED PLAN
THURSDAY, OCTOBER 29, 2020**

1. Michelle Williams (Compliance Specialist) opened the meeting the by letting the participants know that the conference call was for the 2021-2025 Consolidated Plan.
2. Ms. Williams informed the participants that they can put their input and ideas for the Consolidated Plan. Also, they were asked to complete a survey and email it back to Ms. Williams at her email address.
3. Before the meeting started, she asked the participants to put their phones on mute and took roll call. Participants on the call were
 - Jena Belliza –Indiana Parenting Institute
 - Rosemarie Joiner and Dylan McKee –The Boys and Girls Club of Greater NWI
 - Taghi Arshami –The Arsh Group
 - Nikole Rumph –Interim Director, Community Development
 - Michelle Williams –Compliance Specialist, Community Development
4. After roll call was taken, she then turned the meeting to Ms. Rumph (Interim Director).
5. Ms. Rumph informed the participants that this meeting was for the 2021-2025 Consolidated Plan and were asked give their input for the plan. Also, she stated that Mr. Arshami, was the consultant for the 2021-2025 Consolidated Plan.
6. Ms. Rumph then turned the meeting over to Mr. Arshami.
7. Mr. Arshami briefly went over the Consolidated Plan Summary that was emailed prior to the meeting.
8. After Mr. Arshami went over the summary, he then asked if the participants had any input.
 - Ms. Joiner (The Boys and Girls Club of Greater NWI) would like to have some of buildings around their area demolished in order to expand in order to have outdoor space for the youth and to pave the outdoor track for walking.
 - Mr. McKee (The Boys and Girls Club of Greater NWI) would like to have job training for the youth and to have a partnership with businesses. Other comments include:
 - Partnership to clean up ball fields in the parks
 - Tolleston Park needs improvements
 - Teen job training
 - Better partnership with the Police.

- Needs for more collaboration between schools, veterans and senior citizen groups.
- Ms. Belliza (Indiana Parenting Institute) would like to get to root of problem and not covering it up like a bandage. Other comments include:
 - More collaboration is needed
 - Workforce readiness. Need to teach kids about construction
 - Education and training.
 - Brain drain must stop to build the community

**AGENCY/GROUPS MEETING
FOR 2021-2025 CONSOLIDATED PLAN
FRIDAY, OCTOBER 30, 2020**

1. Michelle Williams (Compliance Specialist) opened the meeting the by letting the participants know that the conference call was for the 2021-2025 Consolidated Plan.
2. Ms. Williams informed the participants that they can put their input and ideas for the Consolidated Plan. Also, they were asked to complete a survey and email it back to Ms. Williams at her email address.
3. Before the meeting started, she asked the participants to put their phones on mute and took roll call. Participants on the call were
 - Lorese Wesley –Veteran’s Life Changing Services
 - Victor Garcia –Foodbank of Northwest Indiana
 - Sandra Noe –Meals on Wheels of Northwest Indiana
 - Carolyn Saxton–Legacy Foundation
 - Taghi Arsarmi –The Arsh Group
 - Nikole Rumph –Interim Director, Community Development
 - Michelle Williams –Compliance Specialist, Community Development
4. After roll call was taken, she then turned the meeting to Ms. Rumph (Interim Director).
5. Ms. Rumph informed the participants that this meeting was for the 2021-2025 Consolidated Plan and were asked give their input for the plan. Also, she stated that Mr. Arshami, was the consultant for the 2021-2025 Consolidated Plan.
6. Ms. Rumph then turned the meeting over to Mr. Arshami.
7. Mr. Arshami briefly went over the Consolidated Plan Summary that was emailed prior to the meeting.
8. After Mr. Arshami went over the summary, he then asked if the participants had any input.
 - Ms. Saxton (Legacy Foundation) ask a question about how funds are fund prioritized the needs of children; collaboration with the Foodbank of Northwest Indiana of a position such as a coordinator. Other comments include:
 - Wi-fi for kids
 - Homeless prevention (address job losses and mortgage foreclosures)
 - Health related issues, wellness
 - Job training
 - Develop collaboration and joint partnership with Legacy Foundation
 - Priorities should be on housing and community conservation

- Mr. Garcia (Foodbank of Northwest Indiana) Emergency food source which demand has gone up 80%, problem that food would be running out, set a Mobile market distribution, increase of poverty and homelessness, reiterated what Ms. Saxton in regards of coordinator in order to meet the needs of those needing food, setting up a scholarship, will send information regarding upcoming programs. Other comments include:
 - Cuts in emergency food dollars
 - Increasing needs for the households.
 - Better coordination effort among all agencies.
 - Regional Coordination for charitable food organizations.

- Ms. Wesley (Veterans Life Changing Services) Changes in how many veterans (40) are on the waiting list. Some are staying in hotels due COVID 19. Trying to find them places to stay. Suggestion to buy houses that are abandon and rehab them. They're running out funds and trying to find other funding sources. Additional comments:
 - Needs of homeless veterans
 - Rental assistance is needed
 - Needs case management for the homeless.
 - Priority should be placed for funding for additional housing for veterans.
 - HUD VASH program not available due to COVID-19.

- Ms. Noe (Meals on Wheels) Briefly gave a description of her program. She mentioned that public transit was an issue. The employees that are employed would had to take 3 busses to get to work. She expressed concern about the children receiving meals during COVID 19. She also mentioned that the drivers and volunteers would coordinate any changes regarding their clients. She added that Northwest Indiana Community Action Corporation was not providing the data needed as to how many persons are in need to receive meals. Wellness of senior citizens is a major concern. Many of them are isolated.

**AGENCY/GROUPS MEETING
FOR 2021-2025 CONSOLIDATED PLAN
THURSDAY, NOVEMBER 5, 2020**

1. Michelle Williams (Compliance Specialist) opened the meeting the by letting the participants know that the conference call was for the 2021-2025 Consolidated Plan.
2. Ms. Williams informed the participants that they can put their input and ideas for the Consolidated Plan. Also, they were asked to complete a survey and email it back to Ms. Williams at her email address.
3. Before the meeting started, she asked the participants to put their phones on mute and took roll call. Participants on the call were
 - Sr. Peg Spindler–Sojourner Truth House
 - Sharron Liggins– Continuum of Care of NWI
 - Teshezia George –Gary Commission for Women
 - Nikki Wielgos –Crisis Center
 - Taghi Arshami –The Arsh Group
 - Nikole Rumph –Interim Director, Community Development
 - Michelle Williams –Compliance Specialist, Community Development
4. After roll call was taken, she then turned the meeting to Ms. Rumph (Interim Director).
5. Ms. Rumph informed the participants that this meeting was for the 2021-2025 Consolidated Plan and were asked give their input for the plan. Also, she stated that Mr. Arshami, was the consultant for the 2021-2025 Consolidated Plan.
6. Ms. Rumph then turned the meeting over to Mr. Arshami.
7. Mr. Arshami briefly went over the Consolidated Plan Summary that was email prior to the meeting.
8. After Mr. Arshami went over the summary, he then asked if the participants had any input.
 - Sr. Peg Spindler (Sojourner Truth House) issues with homeless; assisting those establishing a homeless trust fund (increase in food tax) (CoC had 40,000 applicants that are in need of rental assistance) which would be legislative; south county resisting this idea); providing bus passes; moving those from transitional housing to permanent housing. Other comments include:
 - Pantry is overwhelmed
 - Beds are not available for demand dues to social distancing
 - Mental health needs
 - Job training and case management
 - Affordable housing in suburban area
 - Need a Homeless Trust on a countywide basis

- Ms. George (Gary Commission for Women) Note Ark-Rainbow Shelter was closed due to the shelter being rehabbed (expected to be completed in February) and COVID 19. Majority of client were from Gary. Need more shelters for women, case management, job training, and transitional housing.
- Ms. Wielgos (Crisis Center) no issues; had area that could be used for additional space for more bed but need of funding; increase census with children (safe home program); issue is with employment; children finding employment outside Gary. Majority of the children are from Gary but others are from different areas.
 - Needs additional shelter facility. Need beds capacity for emergency (20).
 - Needs transitional housing for kids (20)
 - Has the space but funding is not available to build new facility.
 - Sees increase in the number of mental health cases.
 - Employment for youths.
- Ms. Liggins (Continuum of Care) reiterated what Sis. Peg in regard of a homeless trust fund; transportation being issue (getting people to shelters); GPTC only transit system that goes to different cities but limited hours; need something similar to North Township with dial a ride; vouchers for Uber/Lyft; HUD dollars are not doing a lot for transitional housing. Other comments include:
 - Need outreach dollars.
 - Transportation dollars is needed
 - The system does not address the needs. We need to have a better system. We are system week.
 - Illinois homeless are burdening the system and there is no solution.
 - Technical assistance is need to garner additional funding.
 - Transitional housing is needed.

**AGENCY/GROUPS MEETING
FOR 2021-2025 CONSOLIDATED PLAN
THURSDAY, NOVEMBER 12, 2020**

1. Michelle Williams (Compliance Specialist) opened the meeting the by letting the participants know that the conference call was for the 2021-2025 Consolidated Plan.
2. Ms. Williams informed the participants that they can put their input and ideas for the Consolidated Plan. Also, they were asked to complete a survey and email it back to Ms. Williams at her email address.
3. Before the meeting started, she asked the participants to put their phones on mute and took roll call. Participants on the call were
 - Wende Burbridge –Habitat for Humanity of NWI
 - Dr. Sandra Dafiaghor –CR Works, Inc.
 - Taghi Arshami –The Arsh Group
 - Nikole Rumph –Interim Director, Community Development
 - Michelle Williams –Compliance Specialist, Community Development
4. After roll call was taken, she then turned the meeting to Ms. Rumph (Interim Director).
5. Ms. Rumph informed the participants that this meeting was for the 2021-2025 Consolidated Plan and were asked give their input for the plan. Also, she stated that Mr. Arshami, was the consultant for the 2021-2025 Consolidated Plan.
6. Ms. Rumph then turned the meeting over to Mr. Arshami.
7. Mr. Arshami briefly went over the Consolidated Plan Summary that was emailed prior to the meeting.
8. After Mr. Arshami went over the summary, he then asked if the participants had any input.
 - Ms. Burbridge (Habitat for Humanity of NWI)
 1. Creating communities.
 2. Attracting people to homes.
 3. Affordable housing
 4. Advocacy needed to access for funding.
 5. Future development.
 6. Getting churches involved.
 - Ms. Dafiaghor (CR Works, Inc.)
 1. Housing needs for seniors.
 2. Need of single-family housing.
 3. How to develop work skills in order either rent or buy a house.
 - Other comments include:
 - We need accurate data about housing and population

- Need to work on job and employment
- Lighting and sidewalks need to be improved.
- Need expansion of transit service.
- Quality housing is needed.
- Priority: Smaller congregate housing, assisted housing
- Support emergency repair.
- Mixed use housing is desirable
- Neighborhood organizations (Faith CDC) must be involved.

**AGENCY/GROUPS MEETING
FOR 2021-2025 CONSOLIDATED PLAN
FRIDAY, NOVEMBER 13, 2020**

1. Michelle Williams (Compliance Specialist) opened the meeting the by letting the participants know that the conference call was for the 2021-2025 Consolidated Plan.
2. Ms. Williams informed the participants that they can put their input and ideas for the Consolidated Plan. Also, they were asked to complete a survey and email it back to Ms. Williams at her email address.
3. Before the meeting started, she asked the participants to put their phones on mute and took roll call. Participants on the call were
 - Pam Stalling –NWI Reinvestment Alliance
 - Jerome Nelson V.P. of the Gary Chamber Board of Director’s –Chamber of Commerce
 - Taghi Arshami –The Arsh Group
 - Nikole Rumph –Interim Director, Community Development
 - Michelle Williams –Compliance Specialist, Community Development
4. After roll call was taken, she then turned the meeting to Ms. Rumph (Interim Director).
5. Ms. Rumph informed the participants that this meeting was for the 2021-2025 Consolidated Plan and were asked give their input for the plan. Also, she stated that Mr. Arshami, was the consultant for the 2021-2025 Consolidated Plan.
6. Ms. Rumph then turned the meeting over to Mr. Arshami.
7. Mr. Arshami briefly went over the Consolidated Plan Summary that was email prior to the meeting.
8. After Mr. Arshami went over the summary, he then asked if the participants had any input.
 - Mr. Nelson (Chamber of Commerce)
 4. The need of getting more people to live in Gary.
 5. The need of housing improvement in the Glen Park area.
 6. The need of Code Enforcement.
 7. Getting businesses, churches, and community groups to clean up neighborhoods.
 8. The need of funds to improve community clean up.
 9. The need to how to improve homeownership.
 10. School system is a concern
 - Community engagement is required. Bring churches and IUN to develop a plan for Gary.

- New housing development is needed in the city to ensure availability of modern homes.
- Robust code enforcement must be implemented.
- Ms. Stalling (NWI Reinvestment Alliance)
 7. The need of housing improvement the Glen Park area.
 8. The need of senior housing repair program.
 9. The need of new development in certain areas.
 10. The need to how to increase homeownership
 11. The need of more down payment assistance.
 12. Establish a community hotline for illegal dumping in the City.

B. PUBLIC HEARING PRESENTATION, June 3, 2021, 5:00 p.m.

2021-2025 Consolidated Plan/2021 Annual Action Plan Public Hearing		
Location: Teleconference	Date: June 3, 2021	Duration: 50 min.
Recorder of Minutes	Michelle L. Williams	Initials: MW
List of Attendees	Please see last page of minutes.	

Ms. Michelle Williams, Compliance Specialist Community Development Division, called the public hearing to order at 5:03 P.M.

Ms. Williams

Ms. Williams informed everyone in attendance that the meeting was being recorded and welcomed those for participating on the teleconference call. Ms. Williams indicated that the public hearing was for the 2021-2025 Consolidated Plan and 2021 Annual Action Plan. She stated that Mr. Arshami of the Arsh Group is the Consultant for this document and also added that the participants should have a copy of the Agenda and Executive Summary to follow along during the meeting.

Ms. Williams informed the audience that if they had any questions regarding the information being presented to please ask them at the conclusion of the presentation for time restraint, and to please place all phones on mute after roll call is taken. After Ms. Williams took roll call, she then turned the meeting over to Ms. Arlene Colvin, Director of Community Development.

Ms. Colvin

Ms. Colvin welcomed those who were on the teleconference call for the public hearing regarding the City of Gary's 2021-2025 Consolidated Plan and 2021 Annual Action Plan. Ms. Colvin began by stating that each year the City of Gary seeks funding from the U. S. Department of Housing and Urban Development. She added that for the 2021 Program Year, the City has been allocated \$3,464,302 in Community Development Block Grant funding, \$687,054 in HOME funding, and \$286,220 in Emergency Solutions Grant funding. She also added that we consulted with community partners and the public to determine how these dollars will be spent based on these community meetings. Ms. Colvin then turned the meeting over to the consultant, Taghi Arshami of The Arsh Group, to speak to how we developed our Plan and what our goals are.

Mr. Arshami

Mr. Arshami welcomed those who were on the teleconference call for the public hearing. He stated that the City receives these funds in the amount of about \$4 million dollars annually and that this Plan is for five years. This means that we are planning for somewhere around \$20-21 million dollars over the next five years and consists of three different grants that are all lumped into this Consolidated Plan. Those grants are CDBG (Community Development Block Grant), HOME which is the HOME Investment Partnerships Grant which primarily concerns housing, and ESG (Emergency Solutions Grant) which primarily concerns homelessness. He added that the Consolidated Plan is a strategic plan which, as a whole, has three different objectives:

- support decent housing;
- create a suitable living environment (ex. sidewalks, Demolition, and Code Enforcement);
- create economic opportunity (ex. Creating jobs, business support, and job retention and activities which support individuals and families to achieve self-sufficiency).

Mr. Arshami thanked those agencies that participated in the interviews for the 2021-2025 Consolidated Plan. Mr. Arshami added there were 50+ agencies that were interviewed and that he was surprised at how

many comments were received considering COVID. Mr. Arshami stated that several agencies, individuals, and groups were interviewed to get input and that all comments/input from the interviews were incorporated into the Plan. He mentioned that the agencies interviewed ranged from Social Services, Homelessness, Housing, Businesses, and other groups for this plan. He also added that surveys were collected from these interviews. Mr. Arshami went on to say that almost 100% of the results of the surveys suggested that down payment assistance is needed; that there should be an emphasis on homelessness prevention rather than providing homeless shelter; that there should be Housing and Neighborhood Conservation, how neighborhood conditions need to change; that there should be economic development and jobs; and overall revitalization of the City. He also stated that one other item that resulted from the surveys was Fair Housing.

Mr. Arshami stated that the 2021-2025 Consolidated Plan is a planning document and a strategic document using input that we receive in developing strategies and goals for the next five years and also used to request the funds on an annual basis in the Annual Action Plan which is included in the Plan. He added that the Annual Action Plan identifies all the resources that the City anticipates and identifies the needs and the gaps. Mr. Arshami went on to say that if anyone wanted more information, they could ask and it can be provided. He added that it does have a set of goals that have been identified in the Executive Summary and that there are seven different goals ranging from Housing to Affordable Housing, for Public Services, for Economic Development, as well as for Administration and that under each one of those goals you see bullets that show what specific strategy the City plans to follow or implement to achieve the goals. Mr. Arshami indicated that the Executive Summary also shows a set of specific outcomes or accomplishments for different activities that the City anticipates to have for the next five years.

He also mentioned that additional funding will be available under the CARES Act for COVID-19 response and it is not included in this plan and the City has done an amendment to the 2019 Annual Action Plan for the CARES Act. He stated that also this plan also does not include anything related to the 2021 American Rescue Act.

Sandra Noe, Executive Director of Meals on Wheels

Comment/Question: Ms. Noe stated that as she read through the plan, she didn't see anything specific for our senior community as related to housing, safety, nutrition and asked if she missed that and that she read through the Plan and she didn't see any initiatives to help improve the quality of life for seniors in Gary.

Response by Mr. Arshami:

Mr. Arshami responded that what you have is an Executive Summary. This document is drafted based on specific requirements of HUD. The full plan addresses many needs including senior need. The plan, once done, will be approximately 250 pages with all the documents that are included. He stated that he was going to get there, and that there are other elements in this plan that are different than the last plan and one of them is support for seniors.

Mr. Arshami then went on to explain what is new in the Plan.

1. Housing – homeownership in the plan is emphasized, support of new development of more modern housing, mixed housing units (mixed income and use). The Gary Housing Authority will be part of this process and they will be engaged with the City in some of these efforts and they are also demolishing some of their former project sites where the land could be used for development of new housing. He mentioned that the City also has a considerable amount of vacant land and the most important are former school sites which could be developed as new housing sites in the City which would allow it to diversify its housing stock.
2. Economic Development – need for business retention and development, job training and development, business entrepreneurship development and support, support social services that would create self-sufficiency, access to transportation for those needing to access jobs, who don't have cars and can access public transit

3. Eliminate Blight – the City has been using Code Enforcement and Demolition to eliminate blight and vacant dilapidated structures; developing partnerships for clean-up was suggested by the Legacy Foundation and Boys and Girls Club.
4. Regional collaboration/partnership
5. Emphasis on Homelessness Prevention and not shelters

Mr. Arshami asked if there were any questions and/or comments. There being no questions and/or comments, Mr. Arshami turned the meeting over to Ms. Colvin.

Ms. Colvin

Ms. Colvin stated that the Consolidated Plan is our 5-year plan, and in the Consolidated Plan is our Annual Action Plan that is submitted on a yearly basis. She added that the 2021 Annual Action Plan is how we intend to spend our Community Development Block Grant, HOME, and ESG funds.

Ms. Colvin stated that we intend to spend about \$440,000 in Public Facilities and Infrastructure Improvements and this includes facility improvements and Fire Station improvements in the City. She also added that we intend to spend \$335,601 in Public Services which includes:

- the Gary Commission for Women (The Rainbow Shelter),
- the Health Department (various health programs),
- Gary Human Relations (Fair Housing and Employment Rights Counseling),
- Homeownership Opportunity Network,

and we also intend to fund:

- Housing Repair Programs which include the Senior Repair Program, an Emergency Repair Program, and a Housing Repair Program which assist Gary residents who are home owners who are low to moderate income residents,
- Neighborhood Rehab Program which is a program that has taken homes that we received in the past from the Neighborhood Stabilization Program to renovate and then offer them for sale or lease.
- HOME Tenant Based Rental Assistance Housing Services program,
- Demolition,
- Code Enforcement
- We have dollars set aside for properties that we intend to utilize for a housing project in East Glen Park.

She further added that we have dollars set aside for the HOME Program where we intend to set aside about \$573,000 for affordable housing which includes Habitat for Humanity and the Al Thomas Senior Project. Ms. Colvin stated that we also have a CHDO Set A-side project that we've allocated dollars to and as we mentioned earlier there is a First Time Homebuyer's program that we support. She stated that this program is ramping up and that we're trying to solicit as many persons as we can to participate in this program.

Ms. Colvin also mentioned that under the Emergency Solutions Grant Program we intend to fund:

- Shelter Operations such as Lydia House and Crisis Center,
- Homeless prevention through the Continuum of Care,
- Collecting data regarding the homelessness population in the City.

She stated that overall, our total allocation between the three programs is about \$4,400,000 and that this is how we intend to use our dollars for the 2021 Program Year. Ms. Colvin stated that she would like to say in particular that our Action Plan is always subject to evaluation where we see that there might be an instance where funding might be made available or should be made available for another program, we always have the possibility of amending our programs to include a program such as that. Ms. Colvin further

added we're always constantly monitoring and evaluating the work that we do to make sure that the citizens of Gary are adequately served.

Ms. Colvin asked if there were any questions at this point.

Being no further questions, Ms. Colvin turned the meeting over to Michelle Williams.

Ms. Williams went on to thank everyone that is attending the public hearing and reminded everyone that as Mr. Arshami stated earlier that any comments that you can make you need to submit as soon as possible since this information does go to HUD. Ms. Williams went on to ask if there were any more questions.

Ms. Evelyn Aponte asked if we mentioned the 3-day comment period and it was determined that we had not.

Mr. Arshami went on to mention that we would like to send this Plan out by Tuesday and hopefully we will receive your comments within 3 working days.

There being no further questions and/or comments, the meeting was adjourned.

Staff:

Ms. Arlene D. Colvin, Director
Ms. Nikole Rumph, Deputy Director
Ms. Wanda J. Pettigrew, Programs Manager
Ms. Evelyn Aponte, Compliance Manager
Ms. Felicia Jones, Senior Compliance Specialist
Ms. Michelle Williams, Compliance Specialist

Consultant:

Mr. Taghi Arshami, The Arsh Group

Subrecipients:

Dr. Sharron Liggins, Continuum of Care Network of NWI
Ms. Wende Burbridge, Habitat for Humanity of NWI
Ms. Teshezia George, Gary Commission for Women
Ms. Athena Soleim, Crisis Center
Ms. Lorese Wesley, Veterans Life Changing Services
Ms. Sandra Noe, Meals on Wheels of Northwest Indiana
Ms. Donna Catalona, The Legacy Foundation
Ms. Pamela Stalling, NWI Reinvestment Alliance

C. Community Needs Survey Summary

SUMMARY OF COMMUNITY AND AGENCY SURVEY

Tabulation of Survey Questionnaire - Gary, Indiana					
ORGANZ	RESPONSE				
Government					
Local not for profit					
Other					
TOTAL	8				
Agency Primary Interest	Housing	Homelessness	Social Services		
TOTAL	5				
INFRASTRUCT. AND NEIGH. FACILITIES	1	2	3	4	5
Curbs & Sidewalks					
Street Lighting					
Sewer, Water and Storm					
Park & Recreation Facilities					
Transportation Related					
Other (Vacant Lots, Street Repair, continued demolition)					
TOTAL	14	5	10	11	9
Abandoned/dilapidated houses problem in your neighborhood?	Major	Minor	No problem		
TOTAL	3	1	1		
HOUSING	Not Important	Somewhat Important	Neutral	Important	Very Important
Quality of Housing Stock					
Maintenance Needs of Existing Residential Structures					
Affordability of Housing Stock					
Neighborhood Conditions					
TOTAL			1	8	16
What type HOUSING are needed in Gary?	1	2	3	4	5
Single Family					
General multi-family (1-2 bedrooms)					
Large multi-family (3+ bedrooms)					
Senior Living (55+ years)					
Homeless Shelter					
TOTAL	5	9	7	7	7
Revitalization	Repaired	Demolished	Infill hsg	Natural Area/No Rebuilding	
What do you think should be done with the vacant family homes in the City in need of rehab?					
TOTAL	4	4	2	2	
What do you consider to be a reasonable cost of an affordable housing unit for atypical family in Gary?	<\$100,000	100,000-\$150,00	> \$150,000		
TOTAL	3	5			

What do you consider to be a affordable monthly rent fo typical family in Gary?	<\$500	\$550-\$650	\$650-\$950	\$950-\$1,250	
	I	III	I	I	
TOTAL	1	3	1	1	
Is homebuyer down payment assistance needd in the City?	YES	NO			
	IIIIII				
TOTAL	8				
SOCIAL AND COMMUNITY SERVICES	1	2	3	4	5
Senior Services & Activities	III	I	I	II	I
Youth Services & Activities		III	II	II	I
Health & related Services	II	II	II	I	I
Services & Activities for Persons with Disabilities	I	I	I	II	I
Affordable Daycare	I	II	I	II	I
Homeownership & Financial Counseling	II	II		I	I
Job Training	III	I	II		II
Homeless Prevention	III		I	II	
TOTAL	16	12	10	12	8
Does the social services currently provided meet the needs in the City of Gary?	YES	NO			
	II	II			
TOTAL	2	2			
Category of Needs	1	2	3	4	5
Senior Citizen Services	II				
Senior Housing	I				
Rehab Housing		I			
New Housing	I				
Economic Development	I				
Apprentice opportunities				I	
Career exposure				I	
More Veteran Services		I			
Community Policing			I		
Centeralized Business					
Affordable Mental Health Services					I
Affordable Substance Abuse Treat					I
Access to healthy food	I				
TOTAL	6	2	1	2	2
Is there a homeless issue in Gary?	Yes	No			
	III	III			
TOTAL	3	3			
If answered yes, what should the Clity take?					
Affordable Housing	The City Triple its efforts	Consider partnerships with local churches	Provide additional funding for a family shelter		
Decent Housing Shelter	Funding all hsg dev, beautification-streets	Consider partnerships with other towns in proximity	Provide additional funding for comprehensive system-wide outreach process		

Job Training						
	Sidewalk improvements, Economic Dev.	Consider discussions with other local politicians to press for funding.		Continue funding the Homeless program efforts (i.e. TBRA, HP, RRH)		Support effort to build more affordable housing
TOTAL						
Fair Housing Issues	Yes	No				
Do you think housing discrimination is an issue in Gary?	III	II				
TOTAL	4	2				
Please check all the type(s) of housing discrimination that you or someone you know has encountered:						
Housing provider refuses to make reasonable accommodations for tenants with a disability.	II					
Housing provider uses discriminatory advertising.	I					
Housing provider falsely denies housing was not available.	II					
Real estate agent directs persons to certain neighborhoods.	III					
Housing mortgage lender discriminates by denying mortgage	III					
Housing mortgage lender suggests high rate terms to persons who qualify for better rates. O	III					
TOTAL	14					
On what basis do you or someone you know believe discrimination occurred? (check all that apply):	Race	National Origin	Religion	Disability	Familial Status (single-parent family w/children/expectant mother)	
	III	II	I	II	III	
TOTAL	3	2	1	2	6	
What should the City's priorities be for the next 5 years? (Rank based on priority with 1 being highest priority).	1	2	3	4	5	
Housing and Neighborhood Conservation	III	III		I	I	
Infrastructure and community facilities (i.e. parks, sidewalks, etc.)	II	III	I	I		
Social Services in support of youths development	II	II		I	II	
Social Services in support of families	II	III			II	
Revitalization of neighborhoods	III	I	I	I		
Economic Development	III		II	I		
Others (Please specify)	I					
TOTAL	24	12	4	5	5	

D. Proof of Publication

CHICAGO TRIBUNE
media group

Sold To:
CITY OF GARY COMMUNITY DEV - CU00410928
839 Broadway, Suite N302
GARY, IN 46402

Bill To:
CITY OF GARY COMMUNITY DEV - CU00410928
839 Broadway, Suite N302
GARY, IN 46402

Proof of Publication

Order Number: 6954694
Purchase Order: June 3, 2021 5pm

State of Illinois) ss:
County of Cook

I, Jeremy Gates, a principal clerk of Post Tribune newspaper of general circulation printed and published in the English language in the city of Crown Point, in the State of Indiana and County of Lake, and that the printed matter attached hereto is a true copy, which was duly published in said paper for 1 time(s), the date(s) of publication being as follows:

May 20, 2021.

The undersigned further states that the Post Tribune newspaper(s) maintains an Internet website, which is located at http://classifieds.chicagotribune.com/classifieds?category=public_notice website and that a copy of the above referenced printed matter was posted on such website on the date(s) of publication set forth above.

Dated at Chicago, Illinois on this 20 day of May, 2021.



Jeremy Gates

160 N. State St. Ave.
Chicago, IL 60601

Chicago Tribune - chicagotribune.com
160 N. State St. Ave., Chicago, IL 60601
(312) 222-2222 - Fax: (312) 222-4614

CHICAGO TRIBUNE

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NOTICE OF PUBLIC HEARING City of Gary, Indiana
Division of Community Development 401 Broad-
way, Suite 300 Gary, Indiana 46402 219-881-5075
2021-2025 HUD 5-Year Consolidated Plan/2021 Annual
Action Plan

Notice is hereby given that the City of Gary, Indiana will hold a virtual Public Hearing, via Teleconference, on June 3, 2021 at 5:00 PM to receive input for the City of Gary's proposed 2021-2025 HUD 5-Year Consolidated Plan/2021 Annual Action Plan. The purpose of this Public Hearing is to furnish citizens, public agencies, and other interested parties with information concerning the amount of funds available for proposed Community Development and Housing activities; and, the range of eligible activities that will be undertaken under the 2021-2025 HUD 5-Year Consolidated Plan/2021 Annual Action Plan.

The 2021-2025 HUD 5-Year Consolidated Plan/2021 Annual Action Plan is a document through which the City of Gary identifies programs and activities that will be funded in FY 2021 from Entitlement funds through U.S. Department of Housing and Urban Development (HUD). These funds are received annually for local projects that primarily benefit low- and moderate-income families and individuals. The City anticipates receiving approximately \$4,437,576 in CDBG, HOME and ESG Program Funding for the FY 2021. (January 1, 2021-December 31, 2021)

The City encourages public participation in its virtual Public Hearing Teleconference. To receive a copy of the agenda for the Teleconference, please contact Ms. Michelle Williams in Community Development (mwilliams@gary.gov /219-881-5075). To participate in the virtual Public Hearing Teleconference, please see below:

1. Listen to the Public Hearing Teleconference via telephone: Citizens can call-in to listen or make comments by calling 1-888-585-9008. When the Conference Room Number is requested, please enter 670-712-125 and then press the # key. Questions and comments may be received at the end of the Public Hearing Teleconference.

2. Written Questions/Comments: Written questions/comments will be accepted prior to or after the Public Hearing Teleconference and may also be submitted within the 3-day public review and comment period after the date of the Public Hearing Teleconference.

The 3-day public review and comment period became effective on May 12, 2021 by the U.S. Department of Housing and Urban Development (HUD) in its memorandum providing the regulatory waiver of the 30-day public review and comment period reducing the public comment period for grantees preparing FY 2021 Plans, amendments thereto, and amendments to prior year plans from 30 days to no less than 3 days.

You may also request that your written comments/

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questions be read into the record at the appropriate time during the meeting. Time limits will be enforced therefore written comments that are read into the record must be limited to 3 minutes. Such requests must be received by Community Development at least 48 hours in advance of the public hearing. Comments/questions will be incorporated into the final document and submitted to the U.S. Department of Housing and Urban Development (HUD). Questions/Comments may be submitted as follows:

- Via email: Ms. Michelle Williams: miwilliams@gary.gov
Please indicate: "2021-2025 HUD 5-Year Consolidated Plan/2021 Annual Action Plan Public Hearing Question/Comment" in the subject line.

- Via US Mail: Ms. Michelle Williams
Community Development
2021-2025 HUD 5-Year Consolidated Plan/2021 Annual Action Plan Public Hearing Question/Comment
401 Broadway, Suite 300
Gary, IN 46402

3. Request to Speak: if you are interested in speaking, please contact Ms. Michelle Williams to complete the Request to Speak form no later than noon, June 1, 2021, to be placed on the participant list. Please note that the City anticipates to address any questions during the meeting. For such questions/comments, there is no need to submit a request to speak.

4. Special Accommodations: Persons requiring special accommodations such as a language interpreter, alternate formatted materials, auxiliary aids, sign language, or other should contact Ms. Williams at least five (5) days prior to the meeting.

For additional information or assistance, please contact Michelle Williams in Community Development (miwilliams@gary.gov /219-881-5075) prior to the Public Hearing Teleconference.
5/20/2021 6954694 HSPAXLP

*** Proof of Publication ***

State of Indiana)
) ss:
Lake County)

Personally appeared before me, a notary public in and for said county and state, the undersigned Nicole Muscari who, being duly sworn, says that She/he is Legal Clerk of the Northwest Indiana Times newspaper of general circulation printed and published in the English language in the Town of Munster in state and county afore-said, and that the printed matter attached hereto is a true copy, which was duly published in said paper for 1 time(s), the date(s) of publication being as follows:

May 20, 2021

GARY DEPT OF COMMUNITY DEVELOPMENT - LEGALS
Ms. Adrian Yates, Fiscal Manager
401 BROADWAY, SUITE 300
GARY IN 46402

ORDER NUMBER 70171

The undersigned further states that the Northwest Indiana Times newspaper maintains an Internet website, which is located at www.nwi.com website and that a copy of the above referenced printed matter was posted on such website on the date(s) of publication set forth above.

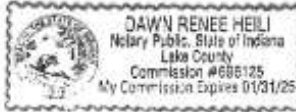
Nicole Muscari, Legal Clerk

By: Adrienne Morris

Subscribed and sworn to before me this 20 day of May, 2021

Dawn Renee Heili
Notary Public

My commission expires:



Section: Legals
Category: 198 Legal - Lake County
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TOTAL AD COST: 67.78
FILED ON: 5/20/2021

*** Proof of Publication ***

NOTICE OF PUBLIC HEARING

City of Gary, Indiana
Division of Community Development
401 Broadway, Suite 300
Gary, Indiana 46402
219-881-5075

2021-2025 HUD 5-Year Consolidated Plan/2021 Annual Action Plan
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For additional information or assistance, please contact Michelle Williams in Community Development (mwilliams@gary.gov 219-881-5075) prior to the Public Hearing Teleconference.
520-70171-hsaaab

Grantee Unique Appendices

Grantee Unique Appendices

EXHIBIT 1

Geographic Distribution

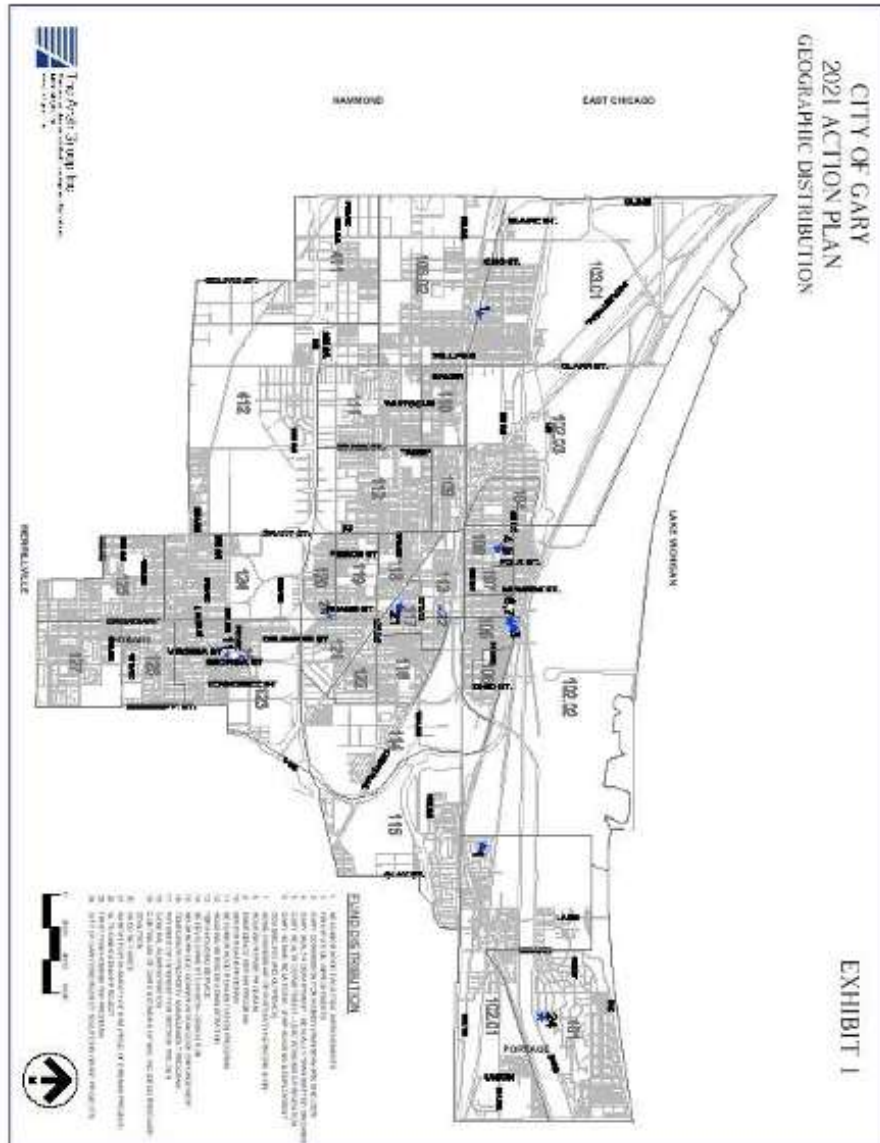


Exhibit 2

HOME Resale/Recapture Provisions (92.254(a)(5))

The City of Gary believes that activities supported by the HUD funds should become long term assets of the community. HOME funds provide purchase or rehab assistance to homebuyers, homeowners, or investors. The resale of all HOME assisted properties during the required affordability period will be governed by the recapture guidelines in accordance to provisions of CFR 24, Section 92.253(a)(5) of HUD. This provision allows participating Jurisdictions to impose either resale or recapture requirements on properties sold that have been assisted with HOME funds. The City of Gary will utilize the recapture method instead of the resale provision.

- A. Periods of Affordability:** The recapture provision will ensure that each housing unit will remain affordable for a period of time determined by the recapture schedule below, established in accordance with 24 CFR 92.254.

HOME Amount per Unit	Minimum Period of Affordability in Years
Less than \$15,000	5
\$15,000 - \$40,000	10
Over \$40,000	15
New Construction	20

- B. Net Proceed:** The "net proceeds" for this purpose is defined as the sales price minus superior loan repayment (other than HOME funds) and any closing costs. To the extent that net proceeds are available at closing, all or a portion of the HOME funds are due and may be recaptured.

- C. Recapture Provisions:** To continue affordability, the City will impose recapture requirements on all HOME funded housing programs. The primary policy of the City is to rely on "Recapture" provisions as a tool to ensure affordability to potential low and moderate-income homebuyers or renters. The "Recapture" provisions are set forth as follows:

1. All homeowner assistance involving HOME funds shall be provided as deferred or amortized loan as evidenced by a note secured by a deed of trust on the property. If the homebuyer does not continue to occupy the property as their principal residence for the duration of the period of affordability, this action shall be treated as an event of default and the homeowner shall become liable for the totality of assistance provided.
2. During the period of affordability, the homebuyer shall not be permitted to refinance the property without the approval and consent of the City.
3. The period of affordability will be based upon the total amount of HOME funds subject to recapture described in Paragraph "A" of this section.

4. Amount Subject to Recapture: The HOME investment that will be subject to recapture will be based on the amount of HOME assistance that enabled the homebuyer to buy a dwelling unit. This includes any HOME assistance that reduced the purchase price from fair market value to an affordable price, but excludes the amount between the cost of producing the unit and the market value of the property (i.e., the development subsidy).
5. Reduction during Affordability Period: The City of Gary will reduce the HOME investment amount to be recaptured, on a prorated basis for each complete month the homeowner has owned and occupied the housing, measured against the required affordability period and based on the following schedule:

<i>Affordability Period</i>	<i>Pro-rated Amount</i>
<i>5 Years</i>	<i>1/60 per month</i>
<i>10 Years</i>	<i>1/120 per month</i>
<i>15 Years</i>	<i>1/180 per month</i>

Ownership terminating on the 16th of a month or any date in the month thereafter shall be rounded up and considered a complete month of occupancy. Ownership terminating on or before the 15th of a month will be rounded down and ownership will be considered to have terminated at the end of the prior month.

If the net proceeds are not sufficient to recapture the full or prorated HOME investment, the City shall retain the totality of all proceeds.

6. Recaptured HOME funds by Community Housing Development Organizations (CHDO), shall be returned to the City of Gary, and shall be used by CHDOs to provide affordable housing in the City of Gary.

For failure to meet these requirements, the City, at its own discretion and subject to other legal requirements, may require immediate repayment of a loan or grant from the homeowner for the prorated portion of the HOME funds.

D. Maximum Property Value

PJs are required to use the 95% of Area Median Purchase Price Value as the limitation of purchase price for HOME units. As a surrogate for calculating this data locally, HUD provides allowable value limits for maximum purchase price by area. The dataset used for determining this percentage changed as of the 2013 HOME Final Rule; as a result, the new limits are no longer based on 203(b) and are referred to as the HOME Homeownership Value Limits. The new limits provide maximum values by unit size and with regard to whether the unit is new or existing.

In Gary, the sales price of any homebuyer property assisted with HOME funds must not exceed 95 percent of the area median purchase price for that type of single-family housing for the Gary, IN HUD Metro Area. As noted above, HUD allows PJs to use either the HOME Homeownership Value Limits established by HUD or the actual 95 percent of median sales price limits for the area, whichever is higher; the City of Gary opts to utilize the HOME Homeownership Value Limits method. Program documents (including underwriting worksheets) will be updated annually to reflect the updated figures. The 2020 limits are as follows.

Purchase Price Limits, 2020, Effective April 15, 2020

Housing Type	1-Unit	2-unit	3-unit	4-unit	Unadjusted Median
Existing Homes	\$166,000	\$213,000	\$258,000	\$319,000	\$175,000
New Homes	\$257,000	\$329,000	\$399,000	\$494,000	\$270,924

In order to ensure compliance with the maximum property value requirement, the City of Gary shall determine and document the value (or after-rehabilitation value for rehab projects) of the unit prior to HOME assistance being provided. To establish the value of the home, the PJ may use an estimate of value, appraisal or tax assessments; these methods are described in greater detail in the Homeowner Rehabilitation Section XI. For homebuyer projects, City of Gary generally shall require that a copy of the appraisal of the HOME-assisted property, conducted prior to the loan closing, be provided to City of Gary. The appraisal amount, when added to the total dollar amount of rehabilitation (according to the bid), must be less than the HOME Homeownership Value Limit for the unit; for down payment assistance-only projects, the rehabilitation amount would be zero (\$0). City of Gary (or the developer, if applicable) shall utilize the "Calculation of Maximum Property Value" form to document compliance with this requirement for each property. A copy of this form shall be completed and dated prior to the date of purchase and maintained with the project file. If the home value calculated is higher than the HOME Homeownership Value Limit, the project must not move forward.

For all units considered for rehabilitation using HOME funds, to avoid the unnecessary expenditure of funds on a project that may not move forward because of this limit, the rehabilitation inspector shall provide a preliminary estimate of the initial value of the property and an estimate of the cost of rehab work following inspection of the property. When added together on the "Preliminary Calculation of After-Rehabilitation Value" form, those amounts should be under the HOME Homeownership Value Limit, or the project should

be abandoned. If applicable, this form shall be completed, signed and dated prior to bidding the project and maintained with the project file.

EXHIBIT 3

ESG Written Standards



**CITY OF GARY, INDIANA
EMERGENCY SOLUTIONS GRANTS PROGRAM
WRITTEN STANDARDS**

Revised August, 2020

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INTRODUCTION

The City of Gary, Indiana has developed the following standards for providing assistance with Emergency Solutions Grants (ESG) funds as required by 24 CFR 576.400 (e). These standards were created in coordination with the Region 1a Planning Council which is led by the Continuum of Care of NWI (CoC) which includes Lake County, Indiana. They are in accordance with the interim rule for the Emergency Solutions Grants Program released by the U.S. Department of Housing and Urban Development on December 4, 2011 and the final rule for the definition of homelessness also released by the U.S. Department of Housing and Urban Development on December 4, 2011.

The City of Gary expects that the standards will adjust as the City of Gary gains more experience with and collects more data from services provided with the Emergency Solutions Grants program. The Standards serve as the guiding principles for funding programs.

DEFINITIONS

At risk of homelessness - (1) An individual or family who: (i) Has an annual income below 30 percent of median family income for the area, as determined by HUD; (ii) Does not have sufficient resources or support networks, *e.g.*, family, friends, faith-based or other social networks, immediately available to prevent them from moving to an emergency shelter or another place described in paragraph (1) of the "homeless" definition in this section; and (iii) Meets one of the following conditions: (A) Has moved because of economic reasons two or more times during the 60 days immediately preceding the application for homelessness prevention assistance; (B) Is living in the home of another because of economic hardship; (C) Has been notified in writing that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; (D) Lives in a hotel or motel and the cost of the hotel or motel stay is not paid by charitable organizations or by Federal, State, or local government programs for low-income individuals; (E) Lives in a single-room occupancy or efficiency apartment unit in which there reside more than two persons or lives in a larger housing unit in which there reside more than 1.5 persons reside per room, as defined by the U.S. Census Bureau; (F) Is exiting a publicly funded institution, or system of care (such as a health-care facility, a mental health facility, foster care or other youth facility, or correction program or institution); or (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient's approved consolidated plan; (2) A child or youth who does not qualify as "homeless" under this section, but qualifies as "homeless" under section 387(3) of the Runaway and Homeless Youth Act (42 U.S.C. 5732a(3)), section 637(11) of the Head Start Act (42 U.S.C. 9832(11)), section 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2(6)), section 330(h)(5)(A) of the Public Health Service Act (42 U.S.C. 254b(h)(5)(A)), section 3(m) of the Food and Nutrition Act of 2008 (7 U.S.C. 2012(m)), or section 17(b)(15) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)(15)); or (3) A child or youth who does not qualify as "homeless" under this section, but qualifies

as “homeless” under section 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)), and the parent(s) or guardian(s) of that child or youth if living with her or him.

Chronically Homeless – a homeless individual with a disability who lives either in a place not meant for human habitation, a safe haven, or in an emergency shelter, or in an institutional care facility if the individual has been living in the facility for fewer than 90 days and had been living in a place not meant for human habitation, a safe haven, or in an emergency shelter immediately before entering the institutional care facility. In order to meet the “chronically homeless” definition, the individual also must have been living as described above continuously for at least 12 months, or on at least 4 separate occasions in the last 3 years, where the combined occasions total a length of time of at least 12 months. Each period separating the occasions must include at least 7 nights of living in a situation other than a place not meant for human habitation, in an emergency shelter, or in a safe haven.

Continuum of Care (CoC) – the group composed of representatives of relevant organizations, which generally includes nonprofit homeless providers; victim service providers; faith-based organizations; governments; businesses; advocates; public housing agencies; school districts; social service providers; mental health agencies; hospitals; universities; affordable housing developers; law enforcement; organizations that serve homeless and formerly homeless veterans, and homeless and formerly homeless persons that are organized to plan for and provide, as necessary, a system of outreach, engagement, and assessment; emergency shelter; rapid re-housing; transitional housing; permanent housing; and prevention strategies to address the various needs of homeless persons and persons at risk of homelessness for a specific geographic area.

Emergency Shelter – any facility, the primary purpose of which is to provide a temporary shelter for the homeless in general or for specific populations of the homeless and which does not require occupants to sign leases or occupancy agreements.

Homeless – (1) An individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning: (i) An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground; (ii) An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state, or local government programs for low-income individuals); or (iii) An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution; (2) An individual or family who will imminently lose their primary nighttime residence, provided that: (i) The primary nighttime residence will be lost within 14 days of the date of application for homeless assistance; (ii) No subsequent residence has been identified; and (iii) The individual or

family lacks the resources or support networks, e.g., family, friends, faith-based or other social networks, needed to obtain other permanent housing; (3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who: (i) Are defined as homeless under section 387 of the Runaway and Homeless Youth Act (42 U.S.C. 5732a), section 637 of the Head Start Act (42 U.S.C. 9832), section 41403 of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2), section 330(h) of the Public Health Service Act (42 U.S.C. 254b(h)), section 3 of the Food and Nutrition Act of 2008 (7 U.S.C. 2012), section 17(b) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)) or section 725 of the McKinney-Vento \Homeless Assistance Act (42 U.S.C. 11434a); (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing at any time during the 60 days immediately preceding the date of application for homeless assistance; (iii) Have experienced persistent instability as measured by two moves or more during the 60-day period immediately preceding the date of applying for homeless assistance; and (iv) Can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse (including neglect), the presence of a child or youth with a disability, or two or more barriers to employment, which include the lack of a high school degree or General Education Development (GED), illiteracy, low English proficiency, a history of incarceration or detention for criminal activity, and a history of unstable employment; or (4) Any individual or family who: (i) Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence against the individual or a family member, including a child, that has either taken place within the individual's or family's primary nighttime residence or has made the individual or family afraid to return to their primary nighttime residence; (ii) Has no other residence; and (iii) Lacks the resources or support networks, e.g., family, friends, faith based or other social networks, to obtain other permanent housing.

Homeless Management Information System (HMIS) – the information system designated by the Continuum of Care to comply with the HUD's data collection, management, and reporting standards and used to collect client-level data and data on the provision of housing and services to homeless individuals and families and persons at-risk of homelessness.

Homelessness Prevention – Housing relocation and stabilization services and short-and/or medium-term rental assistance as necessary to prevent the individual or family from moving to an emergency shelter, a place not meant for human habitation, or another place described in Homeless Category 1 of Appendix A: HUD Definition for Homeless.

Private Nonprofit Organization – a private nonprofit organization that is a secular or religious organization described in section 501(c) of the Internal Revenue Code of 1986 and which is exempt from taxation under subtitle A of the Code, has an accounting system and a voluntary board, and practices

nondiscrimination in the provision of assistance. A private nonprofit organization does not include a governmental organization, such as a public housing agency or housing finance agency.

Program Participant – an individual or family who is assisted under ESG program.

Rapid Re-Housing – Housing relocation and stabilization services and/or short-and/or medium- term rental assistance as necessary to help individuals or families living in shelters or in places not meant for human habitation move as quickly as possible into permanent housing and achieve stability in that housing.

Service Area – The City of Gary’s service area includes the City’s incorporated limits. A map for reference is included on Appendix E.

Street Outreach – Essential Services related to reaching out to unsheltered homeless individuals and families, connecting them with emergency shelter, housing, or critical services, and providing them with urgent, non-facility-based care.

Subrecipient – a unit of general purpose local government or private nonprofit organization to which a recipient makes available ESG funds.

Victim Service Provider – a private nonprofit organization whose primary mission is to provide services to victims of domestic violence, dating violence, sexual assault, or stalking. This term includes rape crisis centers, battered women’s shelters, domestic violence transitional housing programs, and other programs.

STANDARDS APPLICABLE TO ALL PROGRAM COMPONENTS

SERVICE AREA

The service area where assistance will be offered is in the City of Gary corporate city limits.

ELIGIBLE ESG PROGRAM COMPONENTS

There are four (4) ESG Program Components that will be offered through the ESG program:

1. Street Outreach;
2. Emergency Shelter;
3. Rapid Re-Housing;
4. Homelessness Prevention; and

Funds for ESG can be used to support any of the eligible components. The City of Gary and the CoC gives priority to funding that supports securing housing options for homeless households and to support the expansion of rapid re-housing.

COORDINATING ASSESSMENT & SERVICES AMONG PROVIDERS

The City of Gary expects that all providers participate in the coordinated assessment system. The system consists of an electronic assessment housed in the HMIS and a dedicated Project Manager through the CoC Lead Agency. Coordinated assessment uses a common housing assessment to ensure that all homeless individuals are referred to the appropriate housing intervention. Coordinated assessment will be used as each housing intervention supported by ESG.

Verification of Homeless Status: Project level staff are required to obtain documentation at project intake of homeless or at-risk of homelessness status. This status must be maintained in the client's file and available for monitoring by the City of Gary and HUD. These Standards establish the order of priority for obtaining evidence [per 24 CFR 576.500 (b)] as: 1) third-party documentation, 2) intake worker observations, and 3) certification from the person seeking assistance.

Designate staff members for Region 1a Planning Council (RPC) Provider Input Meetings: Each agency will assign two representatives to attend the meetings, where at least one member has decision making capacity for the program. RPC Provider Input Meetings will be conducted on the second Thursday of every month at 10:00 a.m. where providers give and receive information regarding RPC strategies and policies.

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Participate in any standardized training as designated by ESG funders and offered through CoC. The CoC will provide a vetted and standardized training curriculum for all housing stability case managers that will be available for all agencies providing case management for housing-based services. The curriculum and standards will be developed as a part of and in partnership with the Continuum of Care Technical Assistance from the Department of Housing and Urban Development. This will focus on the requirements of maintaining stable housing and ensure access to mainstream resources that will provide ongoing, continued and necessary support for households to gain and maintain stable housing.

COORDINATING WITH MAINSTREAM AND TARGETED HOMELESS PROVIDERS

The City of Gary expects that every agency that is funded through ESG will coordinate with and access mainstream and other targeted homeless resources. The City of Gary will evaluate performance of each provider based on the outcomes achieved toward housing models adopted through the Region 1a Steering Committee. These outcome measures will be used to evaluate program success annually. The City of Gary will use this and other performance metrics to guide funding decisions for ESG funded programs. Required outcomes for each intervention around accessing mainstream resources will match the outcomes approved by the Region 1a Steering Committee annually.

The Continuum of Care Network of NWI is serving as the Managing Entity of Region 1A's Prioritization List. The CoC has lead the implementation of the Coordinated Entry system and has committed resources and staffing to administer assessments, analyze assessment results and support referrals to housing interventions. The CoC will also serve on the statewide Indiana Coordinated Entry Steering Committee, as the system was launched, managed and evaluated.

The Coordinated Entry system established in Region 1A has received overall program management support from the Indiana Balance of State Continuum of Care. Our communities focus on quickly connecting households experiencing chronic homelessness to permanent housing interventions. Region 1A has developed de-centralized entry points of access for households experiencing homelessness, managed by the Continuum of Care Network of NWI. These designated access centers will be the sole locations where homeless or those at-risk of homelessness will be directed for assessment prior to being admitted to any homeless assistance program

Utilization of the VI-SPDAT (Vulnerability Index-Service Prioritization Decision Assistance Tool as created and owned by Community Solutions and Org Code Consulting, Inc.): Standardized Assessment Tool(s) will used by all CE Access Points to determine a household's current housing situation, housing and service needs, risk of harm, risk of future or continued homelessness, and other adverse outcomes. Staff administering the VI-SPDAT Standardized Assessment Tool(s) has completed required training through Org Code.

Coordination of policies and procedures for homeless assistance programs will primarily be achieved through the City of Gary and Regional Planning Council. Active participation in the Regional Planning Council is required. The City and RPC will support a variety of activities which assure availability of services as well as coordination and management. Specifically, the coordination effort will include:

- The City and RPC will implement a coordinated front and back door assessment process through Coordinated Entry participants. All CE participants will be encouraged to use this process and report as required to assure full coordination. This process will be refined to assure rapid rehousing for all homeless and at risk in the system.
- The CE participants will coordinate and evaluate the intake process and conduct a quarterly peer evaluation to assure effectiveness.
- Periodically, meetings of all participating agencies will be held to ensure effective ongoing management and provide updates on any new program developments, outcomes to date, and to allow for discussion and resolution of any project issues.
- Case managers and program representatives will be meeting applicants regularly in CE participating offices or in shelters. The City, CE staff, and shelter staff will also be routinely engaged in conversations and will be requested to participate in any process to assist the program in meeting the needs of the people and families it serves.
- Referral Service:
 - a. Participating agencies, including those receiving ESG funding for shelter operations, will be eligible to refer applicants into CE for homelessness prevention and rapid re-housing assistance.
 - b. The referral process for rapid re-housing and homeless prevention will be as outlined by the CE process and funding availability.
 - c. Referrals will be processed according to the vulnerability assessment (Vulnerability Index - Service Prioritization Decision Assistance Tool or VI-SPDAT).

COORDINATION WITH OTHER TARGETED HOMELESS SERVICES

The recipient and its subrecipients must coordinate and integrate, to the maximum extent practicable, ESG-funded activities with other programs targeted to homeless people in the area covered by the Continuum of Care or area over which the services are coordinated to provide a strategic, community-wide system to prevent and end homelessness for that area. These programs include:

1. Shelter Plus Care Program (24 CFR part 582);
2. Supportive Housing Program (24 CFR part 583);
3. Section 8 Moderate Rehabilitation Program for Single Room Occupancy Program for Homeless Individuals (24 CFR part 882);
4. HUD—Veterans Affairs Supportive Housing (HUD—VASH) (division K, title II, Consolidated Appropriations Act, 2008, Pub. L. 110–161 (2007), 73 FR 25026 (May 6, 2008));

5. Education for Homeless Children and Youth Grants for State and Local Activities (title VII–B of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11431 *et seq.*));
6. Grants for the Benefit of Homeless Individuals (section 506 of the Public Health Services Act (42 U.S.C. 290aa–5));
7. Healthcare for the Homeless (42 CFR part 51c);
8. Programs for Runaway and Homeless Youth (Runaway and Homeless Youth Act (42 U.S.C. 5701 *et seq.*));
9. Projects for Assistance in Transition from Homelessness (part C of title V of the Public Health Service Act (42 U.S.C. 290cc–21 *et seq.*));
10. Services in Supportive Housing Grants (section 520A of the Public Health Service Act);
11. Emergency Food and Shelter Program (title III of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331 *et seq.*));
12. Transitional Housing Assistance Grants for Victims of Sexual Assault, Domestic Violence, Dating Violence, and Stalking Program (section 40299 of the Violent Crime Control and Law Enforcement Act (42 U.S.C. 13975));
13. Homeless Veterans Reintegration Program (section 5(a)(1)) of the Homeless Veterans Comprehensive Assistance Act (38 U.S.C. 2021);
14. Domiciliary Care for Homeless Veterans Program (38 U.S.C. 2043);
15. VA Homeless Providers Grant and Per Diem Program (38 CFR part 61);
16. Health Care for Homeless Veterans Program (38 U.S.C. 2031);
17. Homeless Veterans Dental Program (38 U.S.C. 2062);
18. Supportive Services for Veteran Families Program (38 CFR part 62); and
19. Veteran Justice Outreach Initiative (38 U.S.C. 2031).

The recipient and its subrecipients must also coordinate and integrate, to the maximum extent practicable, ESG-funded activities with mainstream housing, health, social services, employment, education, and youth programs for which families and individuals at risk of homelessness and homeless individuals and families may be eligible. Examples of these programs include:

1. Public housing programs assisted under section 9 of the U.S. Housing Act of 1937 (42 U.S.C. 1437g) (24 CFR parts 905, 968, and 990);
2. Housing programs receiving tenant-based or project-based assistance under section 8 of the U.S. Housing Act of 1937 (42 U.S.C. 1437f) (respectively 24 CFR parts 982 and 983);
3. Supportive Housing for Persons with Disabilities (Section 811) (24 CFR part 891);
4. HOME Investment Partnerships Program (24 CFR part 92);
5. Temporary Assistance for Needy Families (TANF) (45 CFR parts 260– 265);
6. Health Center Program (42 CFR part 51c);
7. State Children’s Health Insurance Program (42 CFR part 457);
8. Head Start (45 CFR chapter XIII, subchapter B);

9. Mental Health and Substance Abuse Block Grants (45 CFR part 96); and
10. Services funded under the Workforce Investment Act (29 U.S.C. 2801 *et seq.*).

TERMINATION OF PARTICIPATION AND GRIEVANCE PROCEDURES

It is important that sub-recipients effectively communicate termination and grievance procedures to participants and ensure that the procedures are fully understood. The City of Gary CDD recommends that each sub-recipient require each participant to sign a form that states the participant has been explained the termination and grievance policy. Posting the policy on a bulletin board in a common area within the facility is an effective way to ensure that the termination and grievance procedures are available for participants to access at any time.

- A. If a participant violates ESG program requirements, the sub-recipient may terminate assistance in accordance with a formal process established by the sub-recipient that recognizes the rights of individuals affected. The sub-recipient must exercise judgment and examine all extenuating circumstances in determining when violations warrant termination so that a program participant's assistance is terminated only in the most severe cases.
- B. To terminate rental assistance or housing relocation and stabilization services to a program participant, the required formal process, at a minimum, must consist of:
 1. Written notice to the program participant containing a clear statement of the reasons for termination;
 2. A review of the decision, in which the program participant is given the opportunity to present written or oral objections before a person other than the person (or a subordinate of that person) who made or approved the termination decision; and
 3. Prompt written notice of the final decision to the program participant.
- C. Termination under this section does not bar the recipient or sub-recipient from providing further assistance at a later date to the same family or individual.

FAIR HOUSING ACT COMPLIANCE

The Department of Housing and Urban Development ("HUD") is responsible for enforcing the federal Fair Housing Act (the "Act"), which prohibits discrimination in housing on the basis of race, color, religion, sex, national origin, familial status, and disability. One type of disability discrimination prohibited by the Act is the refusal to make reasonable accommodations in rules, policies, practices, or services when such accommodations may be necessary to afford a person with a disability the equal opportunity to use and enjoy a dwelling. HUD and DOJ frequently respond to complaints alleging that housing providers have violated the Act by refusing reasonable accommodations to persons with disabilities. State and federal laws require entities to make reasonable changes to policies, practices, procedures and/or physical changes to housing units and/or buildings if such changes are necessary to enable a person with a

disability to have equal access to the housing and/or building. Please note that such changes must be necessary as a result of the person's disability. To read more about what this policy entails refer to this link: https://www.hud.gov/program_offices/fair_housing_equal_opp/fair_housing_act_overview

Agencies receiving ESG Funds must have a policy in place for program recipients to request reasonable accommodations.

EQUAL ACCESS TO HOUSING FINAL RULES

On February 3, 2012, HUD published a final rule in the Federal Register entitled Equal Access to Housing in HUD Programs regardless of Sexual Orientation or Gender Identity. The rule creates a new regulatory provision that generally prohibits considering a person's marital status, sexual orientation, or gender identity (a person's internal sense of being male or female) in making housing assistance available. Lesbian, gay, bisexual, and transgender people are guaranteed equal access to all housing for all types of housing (affordable, permanent, transitional and emergency) funded through HUD.

In addition to the final rule on Equal Access to Housing, HUD published a final rule in the Federal Register entitled "Equal Access in Accordance with an Individual's Gender Identity in Community Planning and Development Programs". This rule was published on September 21, 2016. This rule ensures that each individual in accordance with their gender identity will have equal access to housing and shelter programs administered by HUD. This rule creates a new regulatory provision that requires those entities that are receiving any HUD funding grant equal access to facilities, benefits, accommodations and services to individuals in accordance with the individual's gender identity and in a manner that affords equal access to the individual's family.

WAIVERS

A Fair Market Rent Waiver is permission from an authorized HUD office to assist with rent payments above the established Fair Market Rent (FMR). It is considered an "exception" to established requirements. FMRs are used as a guide to determine the level of HUD subsidy for various programs such as the Emergency Solutions Grant (ESG). However, the FMR is not in itself the standard used for determining eligible rents. Each HUD rental assistance program is governed by its own set of statutes and regulations which determine how much rent HUD will pay.

Emergency Solutions Grant short- and medium-term rental assistance can be provided to eligible program participants only when the rent, including utilities (gross rent) for the housing unit does not exceed the FMR established by HUD for the MSA and complies with HUD's standard of rent reasonableness. HUD may consider waiver requests from ESG grantees to increase these rent limits. Subrecipients such as ACAM have requested and received waivers, but it should be noted that these waivers are time-limited (often, but not always, one year) and the requirement for rent reasonableness is not waived even when there is an FMR Waiver in place.

STANDARDS SPECIFIC TO STREET OUTREACH

ELIGIBILITY: HOMELESS STATUS

Individuals/families who meet the HUD criteria for the following definitions are eligible for Street Outreach services:

- Homeless Category 1: Literally Homeless
- Homeless Category 4: Fleeing/attempting to flee DV (where the individuals/families also meet the criteria for Category 1)

In addition, individuals and families must be living on the streets or other places not meant for human habitation **and** be unwilling or unable to access services in an emergency shelter.

TARGET POPULATION

Although the homeless population is considered a vulnerable population, street outreach activities should target those who are extremely vulnerable including youth and chronically homeless persons.

ENGAGEMENT/COLLABORATION

The City of Gary requires that agencies conducting street outreach activities must engage with unsheltered homeless persons for the purpose of providing immediate support, intervention or connections with mainstream social service programs. The connection of any unsheltered person to an emergency shelter, supportive housing, and/or referral to social service agencies will serve as immediate support and intervention for housing stabilization or critical services.

Agencies are encouraged to use evidenced based practices for their street outreach efforts; including the Housing First Model that quickly connects individuals to permanent housing in the face of acute barriers to entry into housing programs. Additionally, the agencies will participate in the local Continuum of Care's Street Outreach Workgroup and utilize the Coordinated Access Assessment to identify barriers to housing.

ELIGIBILITY: INTAKE AND ASSESSMENT

The City of Gary requires that agencies conducting street outreach activities must provide individuals and families with an assessment and enter data into HMIS or a comparable database for DV providers. The City of Gary encourages providers to develop relationships with unsheltered homeless persons that will help connect them with emergency shelter and housing services primarily through a referral to Coordinated Access.

STANDARDS SPECIFIC TO EMERGENCY SHELTER

ELIGIBILITY: HOMELESS STATUS

Homeless clients entering into the shelter system must meet the HUD criteria for homelessness as either literally homeless (Homeless Category 1), at imminent risk of homelessness (Homeless Category 2), homeless under another federal statute (Homeless Category 3), or fleeing/attempting to flee domestic violence (Homeless Category 4).

For additional details related to the HUD definition of Homeless and applicability to each program component, see Appendix A and C.

ELIGIBILITY: INTAKE AND ASSESSMENT

Specific to Emergency Shelter:

- Any new client entering into a shelter must undergo a complete assessment to understand client needs and barriers and match the client to the most appropriate services provider. The standard assessment accounts for length and frequency of homelessness, physical and mental health status, criminal history, veteran status, domestic violence experience, substance abuse conditions and employment history.
- Clients will be prioritized within the emergency shelter system based on need, available resources, and geographic area.
- Emergency shelters are responsible to assess an individual or family's initial need for emergency shelter and must re-assess their need on an ongoing basis to ensure that only those individuals or families with the greatest need receive ESG-funded emergency shelter assistance. Shelters that serve families must serve all eligible families and may not refuse services based on the age of children or the size of the family.
- Providers must enter data into HMIS or a comparable database for DV providers.

ELIGIBILITY: PRIORITIZATION & REFERRAL POLICIES

Emergency shelters will prioritize individuals/families that:

- Cannot be diverted; and
- Are literally homeless; and
- Can be safely accommodated in the shelter; and
- Not in need of emergency medical or psychiatric services or danger to self or others
- Emergency Shelters cannot discriminate per HUD regulations.

- There are no requirements related to ID, income or employment.
- Transgender placement based on gender self-identification.

General documentation standards, in order of priority, when obtaining documentation of Homelessness are as follows:

Documentation types in order of priority for households who are **Literally Homeless**:

- 1st - Written Third-Party Documentation** (e.g. a letter on agency letterhead with specific dates of contact such as from an emergency shelter provider, landlord, etc.)
- 2nd - Intake Worker Observations** (e.g. a letter on agency letterhead and/or form with intake worker signature documenting specific dates of contact; intake worker may include pictures in case file of where household is sleeping, if authorized to do so by household)
- 3rd - Applicant Self-Declaration** (Certification from the person seeking assistance when no other documentation can be obtained and client completes and signs form documenting homeless status/dates they experienced homelessness)

Already available documentation:

- Discharge paperwork (e.g. paperwork when discharged from institutionalized setting where they resided less than 90 days, such as jail, hospital, treatment facility, etc.)
- HMIS record: (may include any of the following: current project enrollments/shelter stay, recent outreach contact, recent service transactions)

Documentation types in order of priority for households who are at **Fleeing/Attempting to Flee DV**:

- A court order resulting from an eviction action notifying the individual or family that they must leave within 14 days; or
- For individual and families leaving a hotel or motel – evidence that they lack the financial resources to stay; or
- A documented and verified written or oral statement that the individual or family will be literally homeless within 14 days; and
- Certification that no subsequent residence has been identified; and
- Self-Certification or other written documentation that the individual lacks the financial resources and support necessary to obtain permanent housing.

STANDARDS SPECIFIC TO HOMELESSNESS PREVENTION AND RAPID RE-HOUSING

ELIGIBILITY: STATUS AS HOMELESS OR AT-RISK OF HOMELESSNESS

Individuals/families, who meet the HUD criteria for the following definitions, are eligible for Homelessness Prevention assistance:

HOMELESSNESS PREVENTION

- At Risk of Homelessness
- Homeless Category 2: Imminently at-risk of homelessness
- Homeless Category 3: Homeless under other federal statute and
- Homeless Category 4: Fleeing/attempting to flee DV (as long as the individuals/families fleeing or attempting to flee DV are **not** also literally homeless. If the individuals/families are also literally homeless they would actually qualify for rapid re- housing instead. See below.)

Additional eligibility requirements related to Homelessness Prevention include:

- **Proof of residence** within the City of Gary corporate limits service area. A map for reference is included in Appendix?
- **Total household income at 30 percent or below of Area Median Income (AMI)** for the area at initial assessment. Clients must provide documentation of household income, including documentation of unemployment and zero income affidavit for clients without income.

RAPID RE-HOUSING

Individuals/families, who meet the HUD criteria for the following definitions, are eligible for Rapid Re-Housing assistance:

- Homeless Category 1: Literally homeless
- Homeless Category 4: Fleeing/attempting to flee DV (as long as the individuals/families fleeing or attempting to flee DV are **also** literally homeless).

For additional details related to the HUD definition of At Risk of Homelessness and applicability of these definitions to each program component, see Appendix B and C.

ELIGIBILITY: INTAKE AND ASSESSMENT

Coordinated Access will be used for all housing interventions. Subrecipients are required to participate in the centralized or coordinated assessment and intake system (HMIS).

Subrecipients must conduct an initial evaluation to determine the eligibility of each individual or family's eligibility for ESG assistance and the amount and types of assistance the individual or family needs to regain stability in permanent housing as set forth at 24 CFR § 576.401(a). These evaluations must be conducted in accordance with the centralized or coordinated assessment requirements set forth under § 576.400(d) and the written standards established under § 576.400(e).

The standard assessment accounts for length and frequency of homelessness, physical and mental health status, criminal history, veteran status, domestic violence experience, substance abuse conditions and employment history.

After the initial evaluation, the type and amount of assistance will be established to ensure the individual or family's needs are met to regain stability. Note: An eviction notice, and proof of income alone are not enough to determine eligibility and appropriateness for ESG.

Subrecipients are expected to include documentation on the results of the eligibility assessment in the case file and what program component, Homeless Prevention or Rapid Rehousing, individuals and/or families are being assisted with.

The SUBRECIPIENT must re-evaluate the program participant's eligibility and the types and amounts of assistance the program participant needs not less than once every three (3) months for program participants receiving homelessness prevention assistance, and not less than once annually for program participants receiving rapid re-housing assistance as outlined in 24 CFR § 576.401(b). At a minimum, each re-evaluation of eligibility must establish that:

- i. The program participant does not have an annual income that exceeds 30 percent of median family income for the area, as determined by HUD; and
- ii. The program participant lacks sufficient resources and support networks necessary to retain housing without ESG assistance.

The SUBRECIPIENT may require each program participant receiving homelessness prevention or rapid re-housing assistance to notify the recipient SUBRECIPIENT regarding changes in the program participant's income or other circumstances (e.g., changes in household composition) that affect the program participant's need for assistance under ESG. When notified of a relevant change, the SUBRECIPIENT must re-evaluate the program participant's eligibility and the amount and types of assistance the program participant needs.

Limited English Proficiency - Executive Order 13166: Limited English Proficiency is a Federal mandate that requires grantees of federal financial assistance to provide "meaningful access" to applicants and

beneficiaries of their programs who do not speak English as their primary language and who have a limited ability to read, write, speak or understand English.

Identification - The ESG and CoC Interim Rules do not require identification as a condition to apply for or receive homeless assistance. HUD requires only that all program participants meet the minimum eligibility criteria and that grantees comply with all local and federal requirements. Further, HUD strongly encourages grantees to adopt Housing First practices and remove unnecessary barriers to receiving assistance, including requiring identification.

The client may, however, need identification to secure and/or maintain housing. In this situation agencies should help the client to obtain identification. ESG funds may be used to pay for primary documents, such as driver's licenses or birth records, only when the primary documents are necessary for a program participant to obtain and/or maintain housing.

Client signatures - The ESG Interim Rule does not specifically address requirements regarding client signatures and does not require grantees to disqualify individuals or families based on inconsistent signatures, nor does it require identification as a condition to apply for or receive homeless assistance. HUD requires only that all program participants meet the minimum eligibility criteria and that grantees comply with all local and federal requirements. Further, HUD strongly encourages grantees to adopt Housing First practices and remove unnecessary barriers to receiving assistance. The fact that a program participant has used multiple signatures, even if those signatures do not match, should not affect their eligibility for ESG rental assistance. Using a preferred name is a way to affirm one's gender identity. A way to accommodate program participants who use a preferred name is to include a field on intake forms for both "legal name" as well as "preferred name".

Informed Consent - Clients must be informed about their rights regarding personal information and sign consent forms:

- Informed Consent form, with accompanying Terms of Service form. By signing this form, the client consents to provide information necessary for determining eligibility and providing services.
- Authorization for Use and Sharing of Information. By signing this form, the client consents to have selected information shared with other providers in order to obtain other services or to coordinate care.

Citizenship Status - Verification of U.S. Citizenship or eligible immigration status is required for Homeless Prevention. Individuals of households who refuse to fill out the Declaration of Section 214 form and provide supporting documentation or submit proof of citizenship will be deemed ineligible members of the household.

Subrecipients may use the Declaration of Section 214 and supporting documentation or provide U.S. Identification that verifies citizenship. All adults in the household must sign the form. Parent/Guardian must sign the form for family member(s) under 18 years of age. (They DO NOT sign child's name, they sign their own name). In addition, for family members with different citizenship status, complete a separate form for each citizenship status.

Examples of documents that can be used to verify citizenship include the following:

- Social Security Card
- Birth certificate
- Religious document
- U.S. Passport
- Adoption Papers
- Military Records if it shows where born
- Certificate of Naturalization (USCIS Form N-550)
- Certification of U.S. Citizenship (USCIS Form N-560 or N-561)
- Certification of Birth Abroad of a U.S. Citizen (USCIS Form FS-240 or FS-545).
- U.S. Citizen Identification Card (USCIS Form I-197)

Notes: A voter's registration card is not acceptable verification for proof of citizenship when citizenship is questionable since an individual is not required to verify citizenship to register to vote.

(See the Declaration of Section 214 for a list of eligible immigration statuses for non-citizens and required supporting documents.)

ESG benefits cannot be provided to a household member who is not a citizen or does not have an eligible immigration status but may be provided to the other household members. Instead, a prorated benefit would be paid to the household. For example, if the household consists of five members and one is not a citizen or does not have an eligible immigration status, the household would receive 4/5 of the rental assistance it would otherwise receive.

All other eligibility requirements must be verified for every household member, regardless of citizenship status.

Street outreach, emergency shelter, safe haven, and Rapid Rehousing meet the Congressionally recognized 3-part test exceptions to protect life or safety and are therefore not subject to the Act's immigration-based restrictions AND THEREFORE, do not need to prove citizenship or sign 214 form either.

TARGETED POPULATIONS: CLIENT PRIORITIZATION

HOMELESSNESS PREVENTION

Note that all targeted individuals and families described below have to meet the minimum HUD requirements for eligibility to HP.

For purposes of ESG, HUD allows various types of documentation, ranging from third party verification to applicant self-declaration. Minimum acceptable types of documentation vary depending on the particular housing status and circumstance being documented. General documentation standards, in order of priority, are as follows:

Documentation types in order of priority for households who are at **Imminent Risk of Homelessness**:

- a. A court order resulting from an eviction action notifying the individual or family that they must leave within 14 days; or
- b. For individual and families leaving a hotel or motel – evidence that they lack the financial resources to stay; or
- c. A documented and verified written or oral statement that the individual or family will be literally homeless within 14 days; and
- d. Certification that no subsequent residence has been identified; and
- e. Self-Certification or other written documentation that the individual lacks the financial resources and support necessary to obtain permanent housing.

RAPID RE-HOUSING

The City of Gary may provide assistance to individuals who are currently homeless but not in need of permanent supportive housing as eligible for rapid re-housing. This can include, but is not limited to individuals and households who:

- are first time homeless;
- have few recent episodes of homelessness; or
- are part of a family that is homeless.

It should be noted, rapid re-housing funds are directed to individuals with income or work history and skills that indicate employability.

FINANCIAL ASSISTANCE

DURATION AND AMOUNT OF ASSISTANCE

The City of Gary will determine the types of assistance and the amount on a case-by-case basis with consultation with CoC, the shelter providers, and other agencies. All clients are assessed to determine initial need and create a budget to outline planned need for assistance. Agencies cannot set organizational maximums or minimums but must rely on the CoC wide tools to determine household need. Through case management, client files are reviewed monthly to ensure that planned expenditures for the month validate financial assistance request. The City of Gary expects that households will receive the minimum amount of assistance necessary to stabilize in housing. The amount will reflect ability to pay, program dollars available, number of applicants planned to be served, and the program end date. The City will use the following as a guideline for assistance:

- Unsheltered homeless (for individuals living on the street only): Costs for Emergency health and mental health services and cost of program participant's travel on public transportation.
- Rental Application fees: amount of rental housing application fee charged by the owner.
- Security Deposits: cannot exceed rent reasonableness standards as established by HUD
- Utility Payments/Utility Deposit: Maximum permitted one month.
- Moving cost Assistance: will be reviewed on a case-by-case basis. Moving cost may include truck rental for self-hauling option.
- Storage Fees: maximum of 3 months, but not to exceed \$300.00 (no arrears)
- Short-term rental assistance: cannot exceed three (3) months
- Medium-term rental assistance: cannot exceed twelve (12) months and must be reviewed every three months.
- Rental Arrears: One-time payment for up to six (6) months including any late fees on those arrears and cannot exceed both rent reasonableness and fair market rate.
- Use with other subsidies: Rental assistance cannot be provided to a program participant who is receiving tenant-based rental assistance or is living in a housing unit receiving project-based rental assistance or operating assistance through other public sources.
- Rental Restrictions: Rental assistance cannot be provided unless the rent does not exceed the Fair Market Rent established by HUD and complies with HUD's standard of rent reasonableness.
- Duplication of services: No program participant shall be provided duplication of services by organizations receiving ESG funds. A check in the HMIS system should determine if a program participant has received or is in the process of receiving assistance through another organization. Communication must be made between organizations if a program participant attempts to receive duplicated assistance.
- Cost of enrollment in other training programs which enhance employment, money management/budgeting as determined by case management.

PARTICIPANT SHARE

Participant share will be determined by use of common assessment and budgeting tools. Clients will participate in the development of their individual housing plan with a case manager based on client goals and shared goals for achieving housing stability. Financial assistance is available for households with zero income.

HOUSING STABILIZATION AND RELOCATION RELATED ASSISTANCE AND SERVICES

REQUIRED SERVICES: CASE MANAGEMENT & CASE LOADS

Assistance through the Emergency Solutions Grants (ESG) program is intended to be provided as a part of a system-wide approach. In order to evaluate and coordinate services to the greatest extent possible, ESG grantees and subrecipients providing ESG-funded rental assistance or housing and relocation services under the Rapid Re-Housing and/or Homelessness Prevention components must:

- Develop a plan with each program participant on how they will retain permanent housing after the ESG assistance ends (see 24 CFR 576.401(e)(1)(ii)).
- Require program participants to meet with a case manager not less than once per month while they are receiving ESG-assistance to implement the plan and monitor progress towards housing stability (see § 576.401(e)(1)(i)).
- Assist program participants in obtaining appropriate supportive services, as well as connecting them to other federal, state, local, and private benefits and services for which they may be eligible. This includes, but is not limited to, Medicaid, SNAP, WIC, unemployment insurance, SSI/SSDI, services for veterans, and TANF (see § 576.401(d)).
- Have written policies and procedures for coordination among different providers of services in the community. Activities must be coordinated and integrated to the maximum extent practicable (see § 576.400(e)(3)(v)).

The approach to case management described above is required when providing any amount of Rapid Re-Housing or Homelessness Prevention assistance, even when just a one-time payment of rent/utility arrears is being provided. In this instance, a single case management session may meet the program's requirements so long as the housing plan can be established and the referrals to mainstream resources can be completed during the initial intake meeting. The grantee or subrecipient may require the program participant to attend additional follow-up meetings as a condition of receiving assistance.

Housing and Services/Stabilization Plan - Housing and services plan should be completed for all participants that receive an initial intake evaluation, if necessary. The plan is intended to be a guide for

both the participant and the subrecipients. It should focus on obtaining or maintaining housing with defined goals, outcomes and timelines. The plan should also identify needed community resources, referrals to partnering agencies especially for mainstream benefits, budget education and tenant education.

Obtaining or maintaining stable housing is a likely primary goal and other steps needed to achieve housing stability (such as employment or needed supports) are likely related goals. Plans must be developed with full involvement from household members and should start with a strength's assessment. Case managers should build plans around the client's own goals. Service needs may include: mental health, substance abuse, domestic violence, education, employment, and health care. The plan should assist the program participant in retaining permanent housing after the ESG assistance ends.

Participants must be assisted, as needed, in obtaining appropriate supportive services such as medical or mental health treatment or services essential for independent living including mainstream benefits (Medicaid, SSI, SSDI, SNAP, WIC, LIEAP etc.)

In addition to assessing and documenting income and the current housing situation of applicant households, the agencies must also assess and document that the household would become homeless **but for** the ESG assistance. This includes assessing and documenting a household's support networks, monthly budget of expenses, financial resources and other housing options.

Providing ESG Case Management Services without Rental or Financial Assistance - Under the Rapid Re-Housing and Homelessness Prevention components, ESG funds can be used for case management services (or other services) alone. That is, although rental assistance cannot be provided independent of case management services, case management can be provided independent of rental assistance. For example, services (such as case management) could be provided after the term of a program participant's rental assistance expires, as long as the 24-month cap for each type of assistance is not exceeded.

ESG "stand alone" case management or other services can also be provided to support program participants who receive rental assistance through non-ESG programs, as long as the individual or family is eligible for ESG assistance at the time of the intake evaluation and any recertification(s) of eligibility.

Limitation on Housing Stability Case Management Under the Rapid Re-Housing Component - Often, households that are eligible for Rapid Re-Housing assistance reside in an emergency shelter or in a place not meant for human habitation while they wait for a unit to be identified. The following principles for

charging the costs of housing stability case management under Rapid Re-Housing apply in these situations:

- Grantees/subrecipients may provide housing stability case management assistance under the Rapid Re-Housing component for up to 30 days during the period these program participants are residing on the street or in an emergency shelter and seeking permanent housing (see § 576.105(b)(2)).
- If the program participant needs more than 30 days of housing stability case management assistance while they are sleeping in an emergency shelter or on the street (or other place not meant for human habitation), it must be charged either to Emergency Shelter: essential services or Street Outreach: case management, as appropriate. The costs are then subject to the cap on these components.
- Once the program participant is in permanent housing, housing stability case management can be provided under the Rapid Re-Housing component for up to 24 additional months.

Homelessness prevention clients must have an initial home visit when first approved for assistance and subsequent house visits with each recertification every three months. It is expected that case managers will conduct office visits with homelessness prevention clients between home visits, at least once per month. Case managers and program managers are encouraged to provide more than the minimum required services through case management.

Case management includes home and office visits determined by client need and supported by the housing plan.

As required by the Continuum of Care Housing Models, case managers are expected to follow up with clients that have successfully exited rapid re-housing case management at a minimum of 30 days after exiting the program. Clients who remain in housing for 90 days after exiting rapid re-housing, identified through HMIS, are categorized as stably housed.

Case management staff must have an updated copy of the Rental Assistance Agreement and ensure that the fiscal agent is informed of any changes to the participant rent share as indicated in the Housing Stabilization Plan.

REQUIRED SERVICES: INSPECTION AND LANDLORD AGREEMENT

Any unit that receives financial assistance through rapid re-housing must meet minimum habitability standards as outlined in the ESG regulations. The inspections will be conducted by the subrecipient.

Any unit that receives rental assistance payments through rapid re-housing must have a rental lease agreement in place between the tenant and the landlord. The rental assistance lease agreement details the terms under which rental assistance will be provided.

INELIGIBLE SERVICES: CREDIT REPAIR AND LEGAL SERVICES

The City of Gary will not allow ESG funds to be used for credit repair and legal services to be eligible activities. The City of Gary has found limited access to this resource by clients and providers and will instead encourage the use of mainstream service providers and establish them as part of the system of providers with formal relationships.

OPTIONAL SERVICES: SECURITY/UTILITY DEPOSITS

Rental and utility deposits can be included in housing stabilization services as dictated by the housing stabilization plan. Rental and utility deposits can be included in lieu of or in combination with rental assistance for a unit. Security deposits can cover up to two months of rent.

OPTIONAL SERVICES: RENTAL APPLICATION FEES

The City of Gary expects that rapid re-housing navigation and location specialists will work closely with housing providers and establish trusting relationships among landlords in a way that will encourage property owners and managers to waive application fees for rental properties. To that end, application fees can only be provided for one application at a time; but note that this only limits the number of applications that require application fees. Case managers and housing specialists can and should work with clients and landlords to process as many free applications as possible.

ELIGIBILITY: PERIODIC RE-CERTIFICATION


All case managers are required to re-certify clients based on the following schedule. At that time, a case manager may decide to extend, decrease or discontinue providing assistance.

Program Component	Schedule	Re-certification Criteria
Homelessness Prevention	Every 3 months	For both HP and RRH, to continue to receive assistance, clients must <ul style="list-style-type: none"> • be at or below 30% AMI AND • lack sufficient resources and support networks necessary to retain housing without ESG assistance. Families are required to provide information on income, assets greater than \$5,000, deductions, and family composition during the annual recertification process.
Rapid Re-Housing	Annually	

APPENDIX A: HUD DEFINITION FOR HOMELESS AND RECORDKEEPING REQUIREMENTS

HUD CRITERIA FOR DEFINING HOMELESS	Category 1	Literally Homeless	<p>(1) Individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:</p> <ul style="list-style-type: none"> (i) Has a primary nighttime residence that is a public or private place not meant for human habitation; (ii) Is living in a publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state and local government programs); <u>or</u> (iii) Is exiting an institution where (s)he has resided for 90 days or less <u>and</u> who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution
	Category 2	Imminent Risk of Homelessness	<p>(2) Individual or family who will imminently lose their primary nighttime residence, provided that:</p> <ul style="list-style-type: none"> (i) Residence will be lost within 14 days of the date of application for homeless assistance; (ii) No subsequent residence has been identified; <u>and</u> (iii) The individual or family lacks the resources or support networks needed to obtain other permanent housing
	Category 3	Homeless under other Federal statutes	<p>(3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:</p> <ul style="list-style-type: none"> (i) Are defined as homeless under the other listed federal statutes; (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing during the 60 days prior to the homeless assistance application; (iii) Have experienced persistent instability as measured by two moves or more during in the preceding 60 days; <u>and</u> (iv) Can be expected to continue in such status for an extended period of time due to special needs or barriers
	Category 4	Fleeing/ Attempting to Flee DV	<p>(4) Any individual or family who:</p> <ul style="list-style-type: none"> (i) Is fleeing, or is attempting to flee, domestic violence; (ii) Has no other residence; <u>and</u> (iii) Lacks the resources or support networks to obtain other permanent housing

RECORDKEEPING REQUIREMENTS


	Category 1	Literally Homeless	<ul style="list-style-type: none"> • Written observation by the outreach worker; <u>or</u> • Written referral by another housing or service provider; <u>or</u> • Certification by the individual or head of household seeking assistance stating that (s)he was living on the streets or in shelter; • For individuals exiting an institution¹-one of the forms of evidence above <u>and</u>: <ul style="list-style-type: none"> ○ discharge paperwork <u>or</u> written/oral referral, <u>or</u> ○ written record of intake worker's due diligence to obtain above evidence <u>and</u> certification by individual that they exited institution
	Category 2	Imminent Risk of Homelessness	<ul style="list-style-type: none"> • A court order resulting from an eviction action notifying the individual or family that they must leave; <u>or</u> • For individual and families leaving a hotel or motel-evidence that they lack the financial resources to stay; <u>or</u> • A documented and verified oral statement; <u>and</u> • Certification that no subsequent residence has been identified; <u>and</u> • Self-certification or other written documentation that the individual lack the financial resources and support necessary to obtain permanent housing
	Category 3	Homeless under other Federal statutes	<ul style="list-style-type: none"> • Certification by the nonprofit or state or local government that the individual or head of household seeking assistance met the criteria of homelessness under another federal statute; <u>and</u> • Certification of no PH in last 60 days; <u>and</u> • Certification by the individual or head of household, and any available supporting documentation, that (s)he has moved two or more times in the past 60 days; <u>and</u> • Documentation of special needs <u>or</u> 2 or more barriers
	Category 4	Fleeing/ Attempting to Flee DV	<ul style="list-style-type: none"> • <i>For victim service providers:</i> <ul style="list-style-type: none"> ○ An oral statement by the individual or head of household seeking assistance which states: they are fleeing; they have no subsequent residence; and they lack resources. Statement must be documented by a self-certification or a certification by the intake worker. • <i>For non-victim service providers:</i> <ul style="list-style-type: none"> ○ Oral statement by the individual or head of household seeking assistance that they are fleeing. This statement is documented by a self-certification or by the caseworker. Where the safety of the individual or family is not jeopardized, the oral statement must be verified; <u>and</u> ○ Certification by the individual or head of household that no subsequent residence has been identified ; <u>and</u> ○ Self-certification, or other written documentation, that the individual or family lacks the financial resources and support networks to obtain other permanent housing.

APPENDIX B: HUD DEFINITION FOR AT RISK OF HOMELESSNESS AND RECORDKEEPING REQUIREMENTS

HUD CRITERIA FOR DEFINING AT RISK OF HOMELESSNESS	Category 1	Individuals and Families	<p>An individual or family who:</p> <ul style="list-style-type: none"> (i) Has an annual income below <u>30%</u> of median family income for the area; <u>AND</u> (ii) Does not have sufficient resources or support networks immediately available to prevent them from moving to an emergency shelter or another place defined in Category 1 of the “homeless” definition; <u>AND</u> (iii) Meets one of the following conditions: <ul style="list-style-type: none"> (A) Has moved because of economic reasons 2 or more times during the 60 days immediately preceding the application for assistance; <u>OR</u> (B) Is living in the home of another because of economic hardship; <u>OR</u> (C) Has been notified that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; <u>OR</u> (D) Lives in a hotel or motel and the cost is not paid for by charitable organizations or by Federal, State, or local government programs for low-income individuals; <u>OR</u> (E) Lives in an SRO or efficiency apartment unit in which there reside more than 2 persons or lives in a larger housing unit in which there reside more than one and a half persons per room; <u>OR</u> (F) Is exiting a publicly funded institution or system of care; <u>OR</u> (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient’s approved Con Plan
	Category 2	Unaccompanied Children and Youth	A child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statute
	Category 3	Families with Children and Youth	An unaccompanied youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under section 725(2) of the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) or that child or youth if living with him or her.

RECORDKEEPING REQUIREMENTS



	Category 1	Individuals and Families	Intake and certification form meeting HUD specifications to verify "at risk of homelessness" status; (i) Documentation for determining annual income; (ii) Certification on a form specified by HUD that the program participant has insufficient financial resources and support networks; <i>e.g.</i> , family, friends, faithbased or other social networks, immediately available to attain housing stability and meets one or more of the conditions under paragraph (1)(iii) of the definition of "at risk of homelessness" in § 576.2; (iii) The most reliable evidence available to show that the program participant does not have sufficient resources or support networks; <i>e.g.</i> , family, friends, faith-based or other social networks, immediately available to prevent them from moving to an emergency shelter or another place described in paragraph (1) of the "homeless" definition. Acceptable evidence includes: (A) Source documents (<i>e.g.</i> , notice of termination from employment, unemployment compensation statement, bank statement, health-care bill showing arrears, utility bill showing arrears); (B) To the extent that source documents are unobtainable, a written statement by the relevant third party (<i>e.g.</i> , former employer, public administrator, relative) or the written certification by the recipient's or subrecipient's intake staff of the oral verification by the relevant third party that the applicant meets one or both of the criteria under paragraph (1)(iii) of the definition of "at risk of homelessness" in § 576.2; or (C) To the extent that source documents and third-party verification are unobtainable, a written statement by the recipient's or subrecipient's intake staff describing the efforts taken to obtain the required evidence; and (iv) The most reliable evidence available to show that the program participant meets one or more of the conditions under paragraph (1)(iii) of the definition of "at risk of homelessness" in § 576.2. Acceptable evidence includes: (A) Source documents that evidence one or more of the conditions under paragraph (1)(iii) of the definition (<i>e.g.</i> , eviction notice, notice of termination from employment, bank statement); (B) To the extent that source documents are unobtainable, a written statement by the relevant third party (<i>e.g.</i> , former employer, owner, primary leaseholder, public administrator, hotel or motel manager) or the written certification by the recipient's or subrecipient's intake staff of the oral verification by the relevant third party that the applicant meets one or more of the criteria under paragraph (1)(iii) of the definition of "at risk of homelessness"; or (C) To the extent that source documents and third-party verification are unobtainable, a written statement by the recipient's or subrecipient's intake staff that the staff person has visited the applicant's residence and determined that the applicant meets one or more of the criteria under paragraph (1)(iii) of the definition or, if a visit is not practicable or relevant to the determination, a written statement by the recipient's or subrecipient's intake staff describing the efforts taken to obtain the required evidence.
	Category 2	Unaccompanied Children and Youth	Certification of the child or youth's homeless status by the agency or organization responsible for administering assistance under the Runaway and Homeless Youth Act (42 U.S.C. 5701 <i>et seq.</i>), the Head Start Act (42 U.S.C. 9831 <i>et seq.</i>), subtitle N of the Violence Against Women Act of 1994 (42 U.S.C. 14043e <i>et seq.</i>), section 330 of the Public Health Service Act (42 U.S.C. 254b), the Food and Nutrition Act of 2008 (7 U.S.C. 2011 <i>et seq.</i>), section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786) or subtitle B of title VII of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11431 <i>et seq.</i>), as applicable.
	Category 3	Families with Children and Youth	Certification of the child or youth's homeless status by the agency or organization responsible for administering assistance under the Runaway and Homeless Youth Act (42 U.S.C. 5701 <i>et seq.</i>), the Head Start Act (42 U.S.C. 9831 <i>et seq.</i>), subtitle N of the Violence Against Women Act of 1994 (42 U.S.C. 14043e <i>et seq.</i>), section 330 of the Public Health Service Act (42 U.S.C. 254b), the Food and Nutrition Act of 2008 (7 U.S.C. 2011 <i>et seq.</i>), section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786) or subtitle B of title VII of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11431 <i>et seq.</i>), as applicable.

APPENDIX C: CROSS WALK OF HUD HOMELESS AND AT RISK DEFINITIONS AND ELIGIBILITY TO ESG PROGRAM COMPONENTS

Eligibility by Component	Emergency Shelter	<p>Individuals and families defined as Homeless under the following categories are eligible for assistance in ES projects:</p> <ul style="list-style-type: none"> • Category 1: Literally Homeless • Category 2: Imminent Risk of Homeless • Category 3: Homeless Under Other Federal Statutes • Category 4: Fleeing/Attempting to Flee DV
	Rapid Re-Housing	<p>Individuals and families defined as Homeless under the following categories are eligible for assistance in RRH projects:</p> <ul style="list-style-type: none"> • Category 1: Literally Homeless • Category 4: Fleeing/Attempting to Flee DV (if the individual or family is also literally homeless)
	Homelessness Prevention	<p>Individuals and families defined as Homeless under the following categories are eligible for assistance in HP projects:</p> <ul style="list-style-type: none"> • Category 2: Imminent Risk of Homeless • Category 3: Homeless Under Other Federal Statutes • Category 4: Fleeing/Attempting to Flee DV (if the individual or family is NOT also literally homeless) <p>Individuals and families who are defined as At Risk of Homelessness</p> <p>Additionally, HP projects must only serve individuals and families that have an annual income BELOW 30% AMI</p>

APPENDIX D: ELIGIBILITY CRITERIA AND PRIORITIZATION TOOL FOR HOMELESSNESS PREVENTION SYSTEM

ELIGIBILITY REQUIREMENTS

All potential clients will be screened for the following:

Income – Only households with income below 30% of the Area Median Income are eligible for Homelessness Prevention services (see Attachment A for income limits)

PLUS

Trigger Crisis – An event has occurred which is expected to result in housing loss within 30 days due to one of the listed reasons (see Attachment B for qualifying trigger crises)

PLUS

No resources or support network to prevent homelessness –No other options are possible for resolving this crisis. “But for this assistance” this household would become literally homeless—staying in a shelter, a car, or another place not meant for human habitation

OR

Unaccompanied children and youth who qualify as homeless under another Federal statute – See Runaway and Homeless Youth Act definition or Documentation for school district certification of homelessness (see Attachment C for other definitions of homelessness)

OR

Families with children or youth who qualify as homeless under another Federal statute –See Runaway and Homeless Youth Act definition or Documentation for school district certification of homelessness (see Attachment C for other definitions of homelessness)

APPENDIX E: EMERGENCY TRANSFER PLAN FOR VICTIMS OF DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, OR STALKING

EMERGENCY TRANSFERS

[Insert name of ESG Subrecipient or Provider], ESG Provider, is concerned about the safety of its Program Participants who receive rental assistance, and such concern extends to the Program Participants who have experienced domestic violence, dating violence, sexual assault, or stalking. In accordance with the Violence Against Women Act (VAWA),¹ ESG Provider allows Program Participants who have experienced domestic violence, dating violence, sexual assault, or stalking to request an emergency transfer from the Program Participant's current unit to another unit. The ability to request a transfer is available regardless of sex, gender identity, or sexual orientation.² The ability of ESG Provider to honor such request for Program Participants currently receiving assistance, however, may depend upon a preliminary determination that the Program Participant is or has experienced domestic violence, dating violence, sexual assault, or stalking, and on whether ESG Provider has another dwelling unit that is available and is safe to offer the Program Participant for temporary or more permanent occupancy.

This plan identifies Program Participants who are eligible for an emergency transfer, the documentation needed to request an emergency transfer, confidentiality protections, how an emergency transfer may occur, and guidance to Program Participants on safety and security. This plan is based on a model emergency transfer plan published by the U.S. Department of Housing and Urban Development (HUD), the Federal agency that oversees that Emergency Solutions Grants is in compliance with VAWA.

ELIGIBILITY FOR EMERGENCY TRANSFERS

A Program Participant who has experienced domestic violence, dating violence, sexual assault, or stalking, as provided in HUD's regulations at 24 CFR part 5, subpart L is eligible for an emergency transfer, if the Program Participant reasonably believes that there is a threat of imminent harm from further violence if the Program Participant remains within the same unit. If the Program Participant has experienced sexual assault, the Program Participant may also be eligible to transfer if the sexual assault occurred on the premises within the 90-calendar-day period preceding a request for an emergency transfer.

¹ Despite the name of this law, VAWA protection is available to all victims of domestic violence, dating violence, sexual assault, and stalking, regardless of sex, gender identity, or sexual orientation.

² Housing providers cannot discriminate on the basis of any protected characteristic, including race, color, national origin, religion, sex, familial status, disability, or age. HUD-assisted and HUD-insured housing must be made available to all otherwise eligible individuals regardless of actual or perceived sexual orientation, gender identity, or marital status.

A Program Participant requesting an emergency transfer must expressly request the transfer in accordance with the procedures described in this plan.

Program Participants who are not in good standing may still request an emergency transfer if they meet the eligibility requirements in this section.

EMERGENCY TRANSFER REQUEST DOCUMENTATION

To request an emergency transfer, the Program Participant shall notify Housing Provider's management office and submit a written request for a transfer to **[ESG Subrecipient or Provider to insert location]**.

ESG Provider will provide reasonable accommodations to this policy for individuals with disabilities. The Program Participant's written request for an emergency transfer should include either:

1. A statement expressing that the Program Participant reasonably believes that there is a threat of imminent harm from further violence if the Program Participant were to remain in the same dwelling unit assisted under the ESG Provider's program; OR
2. A statement that the tenant was a sexual assault victim and that the sexual assault occurred on the premises during the 90-calendar-day period preceding the tenant's request for an emergency transfer.

ESG Provider must keep records of all requests and outcomes of such requests.

EMERGENCY TRANSFER CONFIDENTIALITY

The ESG Provider will keep confidential any information that the Program Participant submits in requesting an emergency transfer, and information about the emergency transfer, unless the Program Participant gives ESG Provider written permission to release the information on a time limited basis, or disclosure of the information is required by law or required for use in an eviction proceeding or hearing regarding termination of assistance from the covered program. This includes keeping confidential the new location of the dwelling unit of the Program Participant, if one is provided, from the person(s) that committed an act(s) of domestic violence, dating violence, sexual assault, or stalking against the Program Participant. See the Notice of Occupancy Rights under the Violence Against Women Act for All Program Participants for more information about HP's responsibility to maintain the confidentiality of information related to incidents of domestic violence, dating violence, sexual assault, or stalking.

EMERGENCY TRANSFER TIMING AND AVAILABILITY

ESG Provider cannot guarantee that a transfer request will be approved or how long it will take to process a transfer request. ESG Provider will, however, act as quickly as possible to move a Program Participant who is a victim of domestic violence, dating violence, sexual assault, or stalking to another unit, subject to availability and safety of a unit. If a Program Participant reasonably believes a proposed transfer would not be safe, the Program Participant may request a transfer to a different unit. If a unit is available, the transferred Program Participant must agree to abide by the terms and conditions that govern occupancy in the unit to which the Program Participant has been transferred. ESG Provider may be unable to transfer a Program Participant to a particular unit if the Program Participant has not or cannot establish eligibility for that unit.

If ESG Provider has no safe and available units for which a Program Participant who needs an emergency is eligible, ESG Provider will assist the Program Participant in identifying other housing providers who may have safe and available units to which the Program Participant could move. At the Program Participant's request, ESG Provider will also assist Program Participants in contacting the local organizations offering assistance to victims of domestic violence, dating violence, sexual assault, or stalking that are attached to this plan.

If ESG Provider assists through project-based rental assistance and if a Program Participant qualifies for an emergency transfer, but a safe unit is not immediately available for an internal emergency transfer, that Program Participant shall have priority over all other applicants for tenant-based rental assistance, utility assistance, and units for which project-based rental assistance is provided. For families receiving tenant-based rental assistance, the following actions will be taken for the non-transferring family member(s), if the family separates in order to effect an emergency transfer: **[ESG Subrecipient or Provider insert actions here]**.

EMERGENCY TRANSFER SAFETY AND SECURITY OF PROGRAM PARTICIPANTS

Pending processing of the transfer and the actual transfer, if it is approved and occurs, the Program Participant is urged to take all reasonable precautions to be safe.

Program Participants who are or have been victims of domestic violence are encouraged to contact the National Domestic Violence Hotline at 1-800-799-7233, or visit the online hotline at <https://www.thehotline.org/> or a local domestic violence shelter, for assistance in creating a safety plan. For persons with hearing impairments, that hotline can be accessed by calling 1-800-787-3224 (TTY).

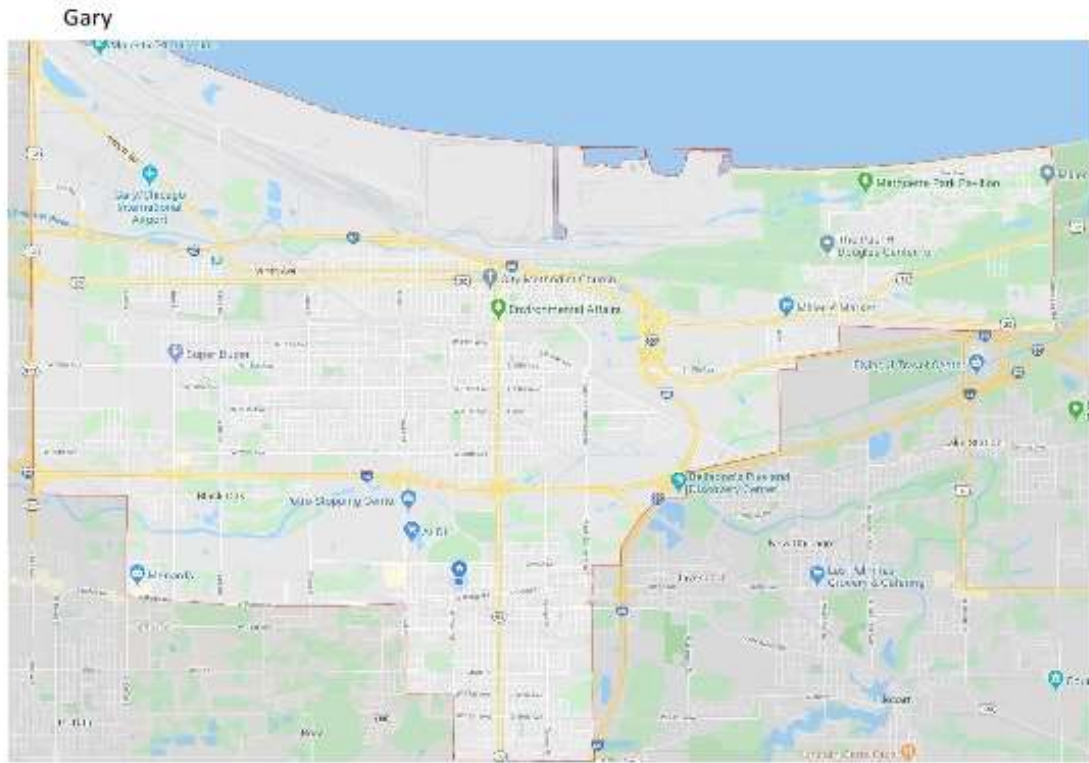
Program Participants who have been victims of sexual assault may call the Rape, Abuse & Incest National Network's National Sexual Assault Hotline at 800-656-HOPE, or visit the online hotline at <https://www.rainn.org/>

Program Participants who are or have been victims of stalking seeking help may visit the National Center for Victims of Crime's Stalking Resource Center at <https://victimsofcrime.org/stalking-resource-center/>.

Program Participants who are or have been victims of domestic violence and are looking for assistance or are seeking shelter may seek help at <https://www.domesticshelters.org/help/in>.

For questions regarding VAWA, and/or if you need to move due to domestic violence, dating violence, sexual assault, or stalking please contact the Gary Commission for Women at (219) 883-4155 for assistance in locating other available housing (note, this is not a domestic violence hotline). Depending on your location, the Gary Commission for Women may also have a listing of local service providers and advocates who can help you move to a safe and available unit.

APPENDIX F: CITY OF GARY CORPORATE BOUNDARIES MAP



Map data ©2020 1 mi

ATTACHMENT A – 30% AREA MEDIAN INCOME (EFFECTIVE 04/01/2020)

Income Level	1 Person	2 Persons	3 Persons	4 Persons	5 Persons	6 Persons	7 Persons	8 Persons
0 - 30%	\$15,750	\$17,200	\$20,420	\$24,600	\$28,780	\$32,960	\$37,140	\$41,320

ATTACHMENT B – OTHER DEFINITION OF HOMELESSNESS

- Runaway and Homeless Youth Act (42 U.S.C 5701 et seq.)
Runaway and Homeless Youth funding is administered by the Family and Youth Services Bureau within the Administration for Children & Families (ACF) of the U.S. Department of Health and Human Services (HHS). Information about Runaway and Homeless Youth program grantees is available online at <https://www.acf.hhs.gov/fysb/programs/runaway-homeless-youth>
- Head Start Act (42 U.S.C. 9831 et seq.)
Head Start funding is administered by the Office of Head Start (OHS) within ACF/HHS. A listing of Head Start programs, centers, and grantees is available online at <http://eclkc.ohs.acf.hhs.gov/hslc/HeadStartOffices>
- Violence Against Women Act of 1994; subtitle N (42 U.S.C. 14043e et seq.)
Violence Against Women Act established the Office on Violence Against Women (OVW) within the U.S. Department of Justice (DOJ). OVW administers financial and technical assistance to communities across the country that are developing programs, policies, and practices aimed at ending domestic violence, dating violence, sexual assault, and stalking. Currently, OVW administers one formula grant program and eleven discretionary grant programs, all of which were established under VAWA and subsequent legislation. More information about OVW is available online at <https://www.justice.gov/ovw>
- Public Health Service Act; section 330 (42 U.S.C. 254b)
The Public Health Service Act authorized the Health Center Program, which is administered by the Bureau of Primary Health Care within the Health Resources and Services Administration (HRSA) of HHS. Information about local Health Centers can be found online at <http://bphc.hrsa.gov/index.html>
- Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.)
Food and Nutrition Act of 2008 relates to the Supplemental Nutrition Assistance Program (SNAP), formerly known as Food Stamps. SNAP is administered by the U.S. Department of Agriculture (USDA). More information about SNAP can be found online at <http://www.fns.usda.gov/snap/>
- Child Nutrition Act of 1966; section 17 (42 U.S.C. 1786)
Child Nutrition Act of 1966 authorized numerous programs related to school lunches and breakfasts and funds for meals for needy students. For more information about these programs, contact the local School Department.
- McKinney-Vento Act; subtitle B of title VII (42 U.S.C. 11431 et seq.)
McKinney-Vento Act authorized the McKinney-Vento Education for Homeless Children and Youths Program, which is administered via the Office of Elementary and Secondary Education within the U.S. Department of Education. More information about this program is available online at <http://www2.ed.gov/programs/homeless/index.html>. Also, contact the local School Department.

Grantee SF-424's and Certification(s)

OMB Number: 4040-0304
 Expiration Date: 12/31/2022

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Prerequisite <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* 3. Date Received: <input type="text"/>		
* 4. Applicant Identifier: <input type="text"/>		
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: F-22-000-10-0005
State Use Only: 6. Date Received by State: <input type="text"/>		
7. State Application Identifier: <input type="text"/>		
B. APPLICANT INFORMATION:		
* a. Legal Name: CITY OF GARY		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text"/>		* c. UEI: <input type="text"/>
d. Address:		
* Street1:	491 BROADWAY	
* Street2:		
* City:	GARY	
* County/Parish:	LAKE	
* State:	IN Indiana	
* Province:		
* Country:	USA: UNITED STATES	
* Zip / Postal Code:	460021332	
e. Organizational Unit:		
Department Name:	COMMUNITY DEVELOPMENT	
Division Name:	COMMUNITY DEVELOPMENT	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	Ms.	* First Name: Arlene
Middle Name:	D.	
* Last Name:	Dolvin	
Suffix:		
Title:	Director	
Organizational Affiliation: <input type="text"/>		
* Telephone Number:	(219) 841-5075	Fax Number: (219) 841-5085
* Email:	adolvin@gary.gov	

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/> Type of Applicant 2: Select Applicant Type: <input type="text"/> Type of Applicant 3: Select Applicant Type: <input type="text"/> * Other (agency): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.218"/> CFDA Title: <input type="text" value="COMMUNITY DEVELOPMENT BLOCK GRANT"/>	
* 12. Funding Opportunity Number: <input style="background-color: yellow; width: 100%;" type="text"/> * Title: <input style="background-color: yellow; width: 100%;" type="text"/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> Add Attachment Delete Attachment View Attachment </div>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="CITY OF GARY: PUBLIC FACILITIES, PUBLIC SERVICES, ROADS, CLEARANCE/DEMOLITION; CODE ENFORCEMENT; SECTION 108 LOAN INTEREST, DISPOSITION, ADMINISTRATION & PLANNING."/>	
Attach supporting documents as specified in agency instructions. <div style="display: flex; justify-content: space-around; margin-top: 5px;"> Add Attachments Delete Attachments View Attachments </div>	

Application for Federal Assistance SF-424

16. Congressional Districts Of:
 * a. Applicant: * b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:
 * a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	1,464,302.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	1,464,302.00

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?
 a. This application was made available to the State under the Executive Order 12372 Process for review on .
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.
 c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)
 Yes No
 If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the Set of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1901)
 ** I AGREE
 ** The Set of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

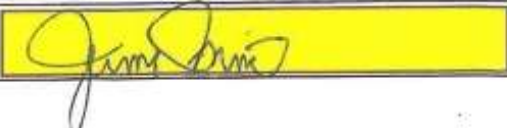
Authorized Representative:

Prefix: First Name:
 Middle Name:
 Last Name:
 Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:  * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0039
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 83-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchase.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1506 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276e-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-196) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
CITY OF GARY	06/08/2021

SF-4210 (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: * If Revision, select appropriate # below: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision * Other (Specify):
* 3. Date Received: [Redacted]		4. Applicant Identifier: [Redacted]
5a. Federal Entity Identifier: [Redacted]		5b. Federal Award Identifier: 4-21-MC-1B-0005
(State Use Only)		
6. Date Received by State: [Redacted]		7. State Application Identifier: [Redacted]
B. APPLICANT INFORMATION:		
* a. Legal Name: CITY OF GARY		
* b. Employer/ taxpayer identification number (EIN/TIN): [Redacted]		* c. UEI: [Redacted]
d. Address:		
* Street:	401 BROADWAY	
Street2:	[Redacted]	
* City:	GARY	
County/Parish:	LAPK	
* State:	IN: Indiana	
Province:	[Redacted]	
* Country:	USA: UNITED STATES	
* Zip / Postal Code:	464021232	
e. Organizational Unit:		
Department Name:	COMMUNITY DEVELOPMENT	
Division Name:	COMMUNITY DEVELOPMENT	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	Mr.	* First Name: Duane
Middle Name:	M.	[Redacted]
* Last Name:	Columbia	
Suffix:	[Redacted]	
Title:	Director	
Organizational Affiliation: [Redacted]		
* Telephone Number:	(219) 861-5075	Fax Number: (219) 861-5085
* Email:	ecc@vinko.org	

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="01 City or Township Government"/> Type of Applicant 2: Select Applicant Type: <input type="text"/> Type of Applicant 3: Select Applicant Type: <input type="text"/> * Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.239"/> CFDA Title: <input type="text" value="EMERGENCY SHELTERS GRANTS PROGRAM"/>	
* 12. Funding Opportunity Number: <input type="text" value=""/> * Title: <input type="text" value=""/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="CITY OF GARY: EMERGENCY SHELTERS, HOMELESSNESS PREVENTION, DATA COLLECTION, AND ADMINISTRATION."/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: * b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	286,220.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	286,220.00

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on .

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fraudulent, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 31B, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain the list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:


* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:  * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
 Expiration Date: 02/28/2022

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PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to ensure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 89-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§522 and 527 of the Public Health Service Act of 1942 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-97)
 Prescribed by OMB Circular A-102


11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11736; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1956, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1986, as amended (16 U.S.C. §470), EO 11663 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§489a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION CITY OF GARY	DATE SUBMITTED 06/08/2021

SI-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Rankon, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text"/> GARY OF GARY		
* b. Employer/employer identification Number (EIN/TIN): <input type="text"/>	* c. UEI: <input type="text"/>	
d. Address:		
* Street1: <input type="text"/> 401 BROADWAY	Street2: <input type="text"/>	
* City: <input type="text"/> GARY	County/Parish: <input type="text"/> LAKE	
* State: <input type="text"/> IN: Indiana	Province: <input type="text"/>	
* Country: <input type="text"/> USA: UNITED STATES	<input type="text"/>	
* Zip / Postal Code: <input type="text"/> 46521234	<input type="text"/>	
e. Organizational Unit:		
Department Name: <input type="text"/> COMMUNITY INVESTMENT	Division Name: <input type="text"/> COMMUNITY DEVELOPMENT	
f. Name and contact information of person to be contacted on matters involving this application:		
Title: <input type="text"/> Director	* First Name: <input type="text"/> Arlene	<input type="text"/>
Middle Name: <input type="text"/> C.	<input type="text"/>	
* Last Name: <input type="text"/> Colvin	<input type="text"/>	
Suffix: <input type="text"/>	<input type="text"/>	
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text"/> (215) 882-5075	* Fax Number: <input type="text"/> (215) 881-5085	
* Email: <input type="text"/> a201710@gary.gov		

Application for Federal Assistance SF-424	
* 8. Type of Applicant 1: Select Applicant Type: <input type="text" value="C: City or Township Government"/> Type of Applicant 2: Select Applicant Type: <input type="text"/> Type of Applicant 3: Select Applicant Type: <input type="text"/> * Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="D. B. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14-239"/> CFDA Title: <input type="text" value="HDLR DEVELOPMENT PARTNERSHIP PROGRAM GRANT"/>	
* 12. Funding Opportunity Number: <input type="text" value=""/>	
* Title: <input type="text" value=""/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 16. Descriptive Title of Applicant's Project: <input type="text" value="CITY OF GARY: CDD SET-BACK, AFFORDABLE HOUSING NEW CONSTRUCTION, REHABILITATION, AND DEVELOPMENT ASSISTANCE."/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="28-1"/>	* b. Program/Project: <input type="text" value="28-1"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="01/01/2021"/>	* b. End Date: <input type="text" value="12/31/2021"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="687,054.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="687,054.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> .	
<input checked="" type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1401)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="KC"/>	* First Name: <input type="text" value="James"/>
Middle Name: <input type="text" value="A"/>	
* Last Name: <input type="text" value="Prince"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Mayor"/>	
* Telephone Number: <input type="text" value="(219) 861-1101"/>	Fax Number: <input type="text" value="(219) 861-1335"/>
* Email: <input type="text" value="jprince@gwy.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="06/08/2021"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0002
Expiration Date: 12/23/2022

Public reporting burden for this collection of information is estimated to average 16 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residential structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3) as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 501-1606 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the DeWitt-Bacon Act (40 U.S.C. §§ 276a to 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. § 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11981; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§ 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. § 51271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 459a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Title:
APPLICANT ORGANIZATION	DATE SUBMITTED
CITY OF GARY	09/09/2021

SF-424D (Rev. 7-97) Back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

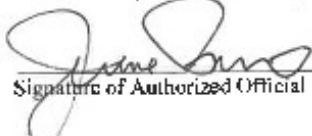
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.



Signature of Authorized Official

June 8, 2021

Date

Mayor, City of Gary, Indiana
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan – It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds – It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2019, 2020, 2021 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force – It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws – The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws – It will comply with applicable laws.



Signature/Authorized Official

June 8, 2021

Date

Mayor, City of Gary

Title

(NOT APPLICABLE)

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance – If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs – It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance – before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature/ Authorized Official

June 8, 2021

Date

Mayor, City of Gary

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.


Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or legal services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature/Authorized Official

June 8, 2021

Date

Mayor, City of Gary

Title

(NOT APPLICABLE)

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities — Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building — Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Appendix - Alternate/Local Data Sources

1	Data Source Name 2008-2012 American Community Survey
	List the name of the organization or individual who originated the data set. The U.S. Census Bureau.
	Provide a brief summary of the data set. This data set provides estimates based off of the 2010 U.S. Census.
	What was the purpose for developing this data set? To provide population, demographic, housing, and economic estimates.
	Provide the year (and optionally month, or month and day) for when the data was collected. 2008-2012.
	Briefly describe the methodology for the data collection. This data set provides population, demographic, housing, and economic estimates based off of the 2010 U.S. Census.
	Describe the total population from which the sample was taken. The United State population. Specifically the City of Gary.
	Describe the demographics of the respondents or characteristics of the unit of measure, and the number of respondents or units surveyed. Not applicable.